Latvijas Republikas Pastāvīgā pārstāvniecība ANO, EDSO un citās starptautiskajās organizācijās Vīnē
Permanent Mission of the Republic of Latvia to the UN, OSCE and other International Organizations in Vienna

No. EDSO-7741

The Permanent Mission of the Republic of Latvia to the UN, OSCE and other International Organizations in Vienna presents its compliments to all Permanent Delegations and Missions to the OSCE, and to the Conflict Prevention Centre of the OSCE, and has the honour to submit the Latvian response to the questionnaire on the Code of Conduct on Politico-Military Aspects of Security (2020) in accordance with Decision N0.2/09 of the OSCE Forum for Security Co-operation.

The Permanent Mission of the Republic of Latvia to the UN, OSCE and other International Organizations in Vienna avails itself of this opportunity to renew to all the Permanent Delegations and Missions to the OSCE and to the Conflict Prevention Centre of the OSCE the assurances of its highest consideration.

Vienna, April 14, 2020

To: All Permanent Missions and Delegations to the OSCE, CPC of the OSCE
QUESTIONNAIRE
ON THE CODE OF CONDUCT
ON POLITICO-MILITARY ASPECTS OF SECURITY

Section I: Inter-State elements

1. Account of measures to prevent and combat terrorism

1.1. To which agreements and arrangements (universal, regional, subregional and bilateral) related to preventing and combating terrorism is your State a party?

Latvia is a state party to 16 universal international instruments related to the prevention of terrorism:

1. Convention on Offences and Certain Other Acts Committed on Board Aircraft, Tokyo, 14 September 1963,

2. Convention for the Suppression of Unlawful Seizure of Aircraft, the Hague, 16 December 1970,

3. Convention for the Suppression of Unlawful Acts against the Safety of Civil Aviation, Montreal, 23 September 1971,


5. Convention against the Taking of Hostages, New York, 17 December 1979,


14. Amendment to the Convention on the Physical Protection of Nuclear Material, adopted on 8 July 2005,


Latvia is a state party also to the following regional legal instruments adopted under the auspices of the Council of Europe:

1. Convention of Cybercrime, Budapest, 23 November 2001,

2. European Convention on Mutual Assistance in Criminal Matters, Strasbourg, 20 April 1959,


5. European Convention on the Suppression of Terrorism, Strasbourg, 27 January 1977,


7. Second Additional Protocol to the European Convention on Mutual Assistance in Criminal Matters, Strasbourg, 8 November 2001,


9. Council of Europe Convention on the Prevention of Terrorism, Warsaw, 16 May 2005,

10. Council of Europe Convention on Laundering, Search, Seizure and Confiscation of the Proceeds from Crime and on the Financing of Terrorism, Warsaw, 16 May 2005,


Latvia has signed the following bilateral treaties on counter-terrorism measures:

1. Agreement between the Ministry of Interior of the Republic of Latvia and the Ministry of Interior of the Republic of Poland on Cooperation in Combatting Crime, Riga, 14 July 1994,

2. Agreement between the Republic of Latvia and the Republic of Finland on Cooperation in Combatting Crime, Helsinki, 21 June 1996,


5. Cooperation Agreement between the Government of the Republic of Latvia and the Government of the Republic of Turkey on Fighting against International Illicit Trafficking in Narcotic Drugs and Psychotropic Substances, International Terrorism and Organized Crime, Riga, 4 June 1997,


8. Agreement between the Government of the Republic of Latvia and the Cabinet of Minister of Ukraine on Co-operation in Combating Terrorism, Illicit Trafficking of Drugs, Psychotropic Substances and Precursors and Organised Crime, Kiev, 24 February 2000,

9. Agreement between the Government of the Republic of Latvia and the Government of the Czech Republic on Co-operation in Combating Terrorism, Illicit Trafficking in Narcotic Drugs and Psychotropic Substances and Organised Crime, Prague, 14 November 2000,


11. Agreement between the Government of the Republic of Latvia and the Government of the Kingdom of Belgium on Police Cooperation, Brussels, 16 October 2001,


15. Agreement between the Republic of Latvia and the Kingdom of Spain on Cooperation in Combating Terrorism, Organised Crime, Illicit Traffic in Narcotic Drugs, Psychotropic Substances and Precursors and Other Crime, Madrid, 24 November 2003,


18. Agreement between the Government of the Republic of Latvia and the Government of the Republic of Kazakhstan on cooperation in the fight against terrorism, the illicit trafficking of narcotic drugs and psychotropic substances and precursors and organised crime Astana, 8 October 2004,


20. Agreement between the Republic of Latvia and the Swiss Confederation on Police Cooperation in Combating Crime, 23 May 2005,

Illicit Trafficking in Narcotic Drugs, Psychotropic Substances and Precursors and Other Serious Crimes, Riga, 13 September 2005,


26. Agreement between the European Union and the United States of America on the processing and transfer of Passenger Name Record (PNR) data by air carriers to the United States Department of Homeland Security (DHS), Washington, 19 October 2006,


32. Agreement between the Government of the Republic of Latvia and the Government of Turkmenistan on Co-operation in Combating Terrorism, Organized Crime, Illicit Trafficking in Narcotic Drugs, Psychotropic Substances and Precursors and other Crime, Ashgabat, 14 May 2013,

1.2. What national legislation has been adopted in your State to implement the above-mentioned agreements and arrangements?

The following legislative acts are the main legislative background for implementation of the norms of the conventions and the legal instruments of the international organizations:

- The suppression of terrorism falls under Section XII of the Latvian Criminal Law. Articles 79.1, 79.2, 79.3, 79.4, 79.5 and 79.6 establishes the penalty for terrorism, for organisation of a terrorist group with certain purpose and for involvement in such a group, the financing of terrorism, recruitment and training for terrorism, traveling for terrorism purpose as well as justification of terrorism, invitation to or threats of terrorism. Moreover, Articles 241, 243 - 245 establish a penalty for offences committed to cyber safety.

- The Commercial Law regulates commercial activities as such while the Credit Institution Law determine the legal status of credit institutions, governs the activities, liability and supervision of such institutions, as well as determining the rights, duties and liability of such persons to whom the requirements of this Law apply. There are also related Regulations of the Cabinet of Ministers.

- Law on the Prevention of Money Laundering and Terrorism and Proliferation Financing, adopted on 17 July 2008 which purpose is to prevent money laundering and terrorism financing and:
  - Ten related Regulations of the Cabinet of Ministers (e.g. No.408 “Regulation on procedures on reporting suspicious transactions” issued on 27 August 2019; No.138 “Regulations on States and International Organisations that have compiled lists of persons suspected of participating in terrorist activities or production, possession, transfer, use or proliferation of weapons of mass destruction” issued on 8 March 2016; No.1092 “Procedure to be followed by State and Municipal Institutions in Providing Information to the Office of the Prevention of Laundering Proceeds Derived from Criminal Activity” issued on 22 December 2008);
  - Thirteen Normative Regulations of the Finance and Capital Market Commission (e.g. Regulations No.1 “Regulatory provisions for the management of money laundering and terrorism financing risks”, issued on 3 January 2019);
  - Regulation issued by the Bank of Latvia on July 16, 2019 No.176 “Requirements for the Prevention of Money Laundering and Terrorism and Proliferation Financing and Sanctions Risk Management upon the Purchase and Sale of Cash in Foreign Currencies”.

- Law on Operation of the Schengen Information System, adopted on 14 June 2007, which purpose is to ensure the use of the system for strengthening of public order and security in the Member States, and related Regulations of the Cabinet of Ministers:
  - No.639 “Procedures for the Entering, Correction and Deletion of Alerts in the Schengen Information System, as well as Ensuring Accessibility of Supplementary Information between the SIRENE Latvia Bureau and Procedures for the Exchange of Supplementary Information of Institutions and Authorities” Regulations issued on 18 September 2007,
  - No.622 “Procedures for the Request and Issue of Information Regarding a Data Subject that is kept in the Schengen Information System and the SIRENE Information System” issued on 11 September 2007.
The Law on Radiation Safety and Nuclear Safety, adopted on 26 October 2000 and related Regulations of the Cabinet of Ministers, e.g. Regulations issued by the Cabinet of Ministers on 4 November 2002, No.508 “Requirements for Physical Protection of Sources of Ionising Radiation”. The purpose of this Law is to ensure the protection of people and the environment from the adverse effects of ionising radiation and to specify the duties and rights of State institutions, natural persons and legal persons in the field of radiation safety and nuclear safety. The Law prescribes the safety requirements for sources of ionising radiation and activities with these and proposes specific requirements for ionising radiation objects of national significance, and prescribes the division of duties among the State authorities in the field of radiation safety and nuclear safety.

Account Register Law, adopted on 23 November 2016 and related Regulations of the Cabinet of Ministers. The purpose of this Law is to establish and maintain the register and ensure processing of data of a person's account with a view: to prevent the use of the Latvian and international financial system in criminal activities, including money laundering, terrorism financing, financing of production, possession, transportation, use and distribution of weapons of mass destruction, and to ensure international cooperation in this field; to protect State and public security, etc.

Law On the Circulation of Goods of Strategic Significance, adopted on 21 June 2007, which purpose is to ensure controlled circulation of goods of strategic significance according to the national and international interests of the Republic of Latvia, the requirements of international export control regimes and to deflect the proliferation of nuclear, chemical and biological weapons and other weapons of mass destruction, as well as deflect the threat of international terrorism and related Regulations of the Cabinet of Ministers.


Law on International Sanctions and National Sanctions of the Republic of Latvia adopted on 4 February 2016 and related Regulations of the Cabinet of Ministers. The purpose of this Law is to ensure peace, security and rule of law in accordance with the international obligations and national interests of Latvia, when introducing international sanctions, imposing national sanctions, or in the cases specified in this Law when the sanctions imposed by the European Union (EU) or North Atlantic Treaty Organization (NATO) are being imposed.

Regulations issued by the Cabinet of Ministers on 3 March 2015, No. 110 “Procedures for Reporting Regarding Explosive Precursors”.
Instruction issued by the Cabinet of Ministers on 5 August 2008, No 12 “Instruction Regarding Actions of Responsible Institutions in the Event of Finding a Substance or Object of Unknown Origin if It is Suspected that It Contains Explosive, Radioactive, Dangerous Chemical or Biological Substances, as well as if Indications of Terrorist Attack is Detected”.

1.3. What are the roles and missions of military, paramilitary and security forces and the police in preventing and combating terrorism in your State?

The State Security Service (VDD) is responsible for the coordination of activities of State and local government institutions and other legal persons in the field of counterterrorism as well as analysis of implementation of State’s policy in this field.

VDD is counterintelligence and internal security service, which gathers the information from different sources, carries out its analysis, informs senior officials about the threats identified to the national security as well as takes measures to neutralize them. VDD is a subject of operational activities, and it has the right to conduct pre-trial investigations regarding criminal offenses committed in the area of national security (espionage, terrorism, disclosure of state secrets etc.) or in national security institutions, or other criminal offences within its competence and in cases where the investigation has been ordered by the Prosecutor General. VDD is responsible for

- Counterterrorism;
- Counterintelligence;
- Economic security;
- Protection of the constitutional order;
- Protection of the state secrets;
- Protection of the high officials (dignitary protection);
- Prevention of the crimes that threaten national security and the rule of law (espionage, dissemination of state secret, counterfeiting, distribution of radioactive, chemical and other arms, unauthorized distribution of explosive substances etc.).

VDD functions in the field of counterterrorism:

- Counterintelligence and operational activities to fight terrorism;
- Counterintelligence and operational activities to fight illegal distribution of explosive, nuclear, chemical weapons, radiological materials, dual-use goods, firearms;
- Coordination of activities of state and local government institutions as well as other legal entities in the field of counterterrorism and the analysis of implementation of the State policy in this field.

A special department of VDD – the Counterterrorism Centre (CTC), ensures the coordination of functions in the field of counterterrorism.

The main functions of the CTC are:

- Monitoring of terrorism threats (information analysis and assessment of possible threats of terrorism);
- Planning and coordination of counterterrorism measures (preventive and responsive);
- Planning and organization of counterterrorism training and exercises;
- Control of objects (critical infrastructure and soft targets) at risk of terrorism;
- Coordination of terrorism threat level and the implementation of the National counterterrorism plan;
- PNR: Passenger Name Record.

The principal task of the Latvian National Armed Forces (LNAF) is to defend the sovereignty and ensure the territorial integrity of the Latvian state and its population against aggression. This also includes the participation in the prevention of situations which constitute a threat to the state in accordance with the procedures specified in regulatory enactments.

The Ministry of Defence together with the LNAF prepare the Military Threat Analysis, develops the State Defence Concept and State Defence plan, the LNAF Development Plan and the LNAF Mobilisation Plan. The Ministry of Defence is involved in monitoring the international security environment and in elaborating the threat assessment as well as in taking part in the development of the national counter-terrorism system. The Ministry of Defence also ensures the participation of the LNAF in international security/safety and defence measures as well as plans LNAF participation in international operations (that can contribute also to counter-terrorism efforts). Article 6.1 of the National Armed Forces Law defines that while fulfilling its tasks the LNAF shall provide support to:

1) the State Security Service – in implementing anti-terrorism measures, as well as measures for the prevention or combating of threats of terrorism;

2) the system of civil protection – in implementing preventive and reactive measures, measures for the elimination of consequences caused by emergency situations, as well as performing search and rescue operations;

3) The State Police – in ensuring public order and safety;

4) The State Border Guard – in ensuring the inviolability of the State border;

5) State security institutions – in performing national security measures.

Article 17 of the National Armed Forces Law stipulates that in the case of threat of terrorism, the Minister of Defence upon the request of the Minister of the Interior shall order the Commander of the LNAF to provide support to the measures taken by the State Security Service for the prevention of the threat of terrorism or the combating thereof, determining the tasks to be performed, the time and the place. The leader of the measures referred is responsible for the orders given to the LNAF units involved in the measures for the prevention of the threat of terrorism or the combating thereof.

1.4 Provide any additional relevant information on national efforts to prevent and combat terrorism, e.g., those pertaining inter alia to:

- Financing of terrorism;
- Border controls;
- Travel document security;
- Container and supply chain security;
- Security of radioactive sources;
- Use of the Internet and other information networks for terrorist purposes;
- Legal co-operation including extradition;
- Safe havens and shelter to terrorists and terrorist organizations.

Taking into consideration the Committee's of experts on the evaluation of anti-money laundering measures and the financing of terrorism (Moneyval) 5th Round Mutual Evaluation Report on Latvia and assessments of experts as well as evaluating the current legal framework, Latvia has decided to take an all-government approach on improving legal framework and the actual effectiveness of applying legal tools to further improve actions, means and capabilities for countering terrorism financing. On 11 October 2018 Cabinet of Ministers passed an order No.512 "About operations plan on prevention of money laundering and terrorism financing for period until 31 of December 2019". Mentioned Plan in regards to terrorism financing includes tasks such as undertaking risk assessment, establishing risk-based supervision approach,
improving mutual assistance and information sharing, further educating of experts in competent authorities etc. During 2019 this plan was implemented and VDD in cooperation with other government institutions developed guidelines for the prevention of Terrorism Financing and Proliferation for the subjects of the “Law on the Prevention of Money Laundering and Terrorism and Proliferation Financing” and supervisory authorities. VDD also organized seminar for relevant institutions on implementation of these guidelines. On 23 December 2019 Cabinet of Ministers passed a following order No. 653 “About operations plan on prevention of money laundering and terrorism and proliferation financing for period 2020 – 2022”. This plan includes following appropriate tasks after previous plan in regards to prevention of terrorism financing. Additionally Latvian government authorities developed Strategy for the prevention of terrorism financing for 2019 – 2021.

Additionally, the respective state institutions are working in each of the above-mentioned fields to combat and prevent terrorism.

2. Stationing of armed forces on foreign territory

2.1 Provide information on stationing of your States armed forces on the territory of other participating States in accordance with freely negotiated agreements as well as in accordance with international law.

Participation of military personnel or units (contingent) in international operations as well as joint military training of units is regulated by the Law “Participation of the Latvian National Armed Forces in International Operations”.

The Minister of Defence takes the decision on participation of units of the National Armed Forces in joint military training, whether in Latvia or abroad, after coordination with the Ministry of Foreign Affairs and/or the Ministry of Interior. There are two exceptions when the decision is taken by the Cabinet of Ministers: the number of foreign military personnel exceeds 500 persons in joint military training in the territory of Latvia; units of the National Armed Forces participate in joint military training in non-EU or non-NATO countries.

The contingent of the Latvian National Armed Forces shall participate in international operations upon a resolution, recommendation or request of such international organizations of which the Republic of Latvia is a Member State, or with which the Republic of Latvia cooperates, as well as upon a request of the NATO or a EU Member State, or upon a request of such state in the territory of which an international operation is taking place.

The Law “Participation of the Latvian National Armed Forces in International Operations” stipulates that the contingent of the Latvian National Armed Forces shall participate in international operations within the scope of a mandate approved by the United Nations (UN) or another international organization, or in accordance with the international agreements binding to the Republic of Latvia and laws of the Republic of Latvia, as well as a decision of the Saeima (The Parliament), the Cabinet of Ministers or - in the case specifically provided for in the law - the Minister of Defence on participation of the contingent of the Latvian National Armed Forces in international operations.

Article 5 of the Law “Participation of the Latvian National Armed Forces in International Operations” provides that upon a request of a foreign country for the need of first aid, the Minister of Defence may approve the participation of a specially trained contingent. This decision allows National Armed Forces contingent to participate in international rescue operations and international humanitarian operations in member states of NATO or EU.

Latvian National Armed Forces continued their participation in international operations in 2019 in accordance with the appropriate decisions by the Parliament. Throughout the year Latvia was engaged in NATO training and assist mission Resolute Support Mission (RSM) in Afghanistan.
In 2019 Latvia participated in two EU military operations (EU Counter piracy operation ATALANTA and EUNAVFOR MED operation “Sophia” in the Mediterranean region) and EU Training Mission Mali (EUTM Mali). In the March 2019 Latvia ceased its participation in EU Counter piracy operation ATALANTA.

Latvian National Armed Forces continued their participation in the international coalition against terrorist organization "Daesh" participating in Joint Forces military operation "Inherent Resolve" in Iraq, and participated in the UN-led Multidimensional Integrated Stabilization Mission in Mali "MINUSMA". Additionally, Latvia has contributed to the NATO Response Force and the United Kingdom led Joint Expeditionary forces (JEF).

Taking into account that the Republic of Latvia is a member of NATO and has acceded to NATO basic treaties (i.e., the NATO SOFA) the provisions of these international treaties have been implemented.

3. Implementation of other international commitments related to the Code of Conduct

3.1 Provide information on how your State ensures that commitments in the field of arms control, disarmament and confidence and security-building as an element of indivisible security are implemented in good faith.

Arms control, disarmament and confidence and security building measures are significant elements of Latvia’s security policy. Latvia works closely with its partners bilaterally and multilaterally, including in OSCE and NATO. Latvia is a member of all of the main international treaties, conventions and initiatives on the non-proliferation of weapons of mass destruction and disarmament:

- Treaty on the Non-Proliferation of Nuclear Weapons;
- Comprehensive Nuclear Test Ban Treaty;
- Convention on the Prohibition of the Development, Production and Stockpiling of Biological and Toxin Weapons and on Their Destruction;
- Convention on the Prohibition of Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction;

Latvia is also the Subscribing State to the Hague Code of Conduct Against Ballistic Missile Proliferation. Latvia follows the principles of the UN Security Council Resolution 1540, and also supports the Proliferation Security Initiative and Global Initiative to Combat Nuclear Terrorism. Latvia’s national legislation is adapted accordingly.

Latvia supports relevant non-proliferation and disarmament resolutions at the UN General Assembly First Committee.

Latvia has developed and implemented a strict and effective export control system to prevent illicit trafficking of nuclear, chemical or biological weapons and their means of delivery, and of sensitive dual use and strategic goods and technologies. The national regulations and practices of import, export and transit of arms complies with the principles and norms of the EU.

Latvia participates in the main international export control regimes: Nuclear Suppliers Group (NSG), Australia Group, the Wassenaar Arrangement. Latvia chaired the NSG for the period from June 2018 to June 2019 and is chairing the Experts Group of the Wassenaar Arrangement for two years beginning in January 2019. Latvia also is interested to join the Missile Technology Control Regime (MTCR). Latvia submitted its original application for membership in the MTCR in 2003, and provided an updated version of Latvia's application to the MTCR on 22 July 2015. Latvia's export control policy is in full compliance with the MTCR guidelines.
Latvia pays particular attention to the concerns posed by illicit trafficking and uncontrolled proliferation of small arms and light weapons. Latvia is a State Party to the Arms Trade Treaty (ATT) and held the chairmanship of the ATT from August 2018 to August 2019. Latvia is contributing to the efforts in making export control of legal transactions of arms a harmonized practice within the UN. Latvia submits annual reports on arms export and import to the UN Register of Conventional Arms, and to OSCE on the implementation of Code of Conduct on Politico-Military aspects of Security, and OSCE Document on Principles Governing the Conventional Arms Transfers. In addition, Latvia has taken up the chairmanship of the Group of Governmental Experts on Lethal Autonomous Weapon Systems in 2020.

3.2 Provide information on how your State pursues arms control, disarmament and confidence- and security-building measures with a view to enhancing security and stability in the OSCE area.

Latvia actively participates in the OSCE Vienna Document on Confidence and Security-Building Measures evaluation visits and inspections as well as implements the bilateral transparency arrangements (e.g. with Belarus according to the Declaration on Additional Confidence and Security Building Measures).

Latvia is also a member of the Open Skies Treaty.

Section II: Intra-State elements

1. National planning and decision-making process

1.1 What is the national planning and decision-making process in determining/approving military posture and defence expenditures in your State?

Latvia has an effective defence planning system that is compatible with NATO planning process and requirements. It is based on the overarching state defence principles and NATO recommendations, and provides a framework for annual planning cycle. National defence capability objectives, as set out in the LNAF Development Plan, are synchronized with NATO Capability Targets contributing to a well-coordinated capability development across the Alliance. Consequently, it ensures that the available resources deliver the maximum defence capabilities. Moreover, Latvia executes its defence planning to fulfil its duties towards international security and stability. Regular reviews of the different plans are carried out, which allow adjusting the resource allocation taking into consideration the changes in the security environment and the economic development of the country.

The defence planning system is based on a hierarchy of defence planning documents that are split between the different levels of security policy, defence policy and defence planning.

The major planning documents at the security policy level:

The National Threat Analysis is a comprehensive assessment, which identifies the existing and potential specific military threats or risk factors to national security. Analysis is prepared by the Constitution Protection Bureau in cooperation with the Latvian State Security Service and the Military Intelligence and Security Service, coordinated by the Council of State Security. It is examined by the Cabinet of Ministers and the National Security Council.

The Concept of National Security is prepared based on the National Threat Analysis. This document determines the basic strategic principles and priorities for the prevention of military threats to the State. The last Concept of National security was approved on September 26, 2019.

The defence policy documents are as follows:

The Military Threat Analysis is an assessment of the possibility of military aggression against Latvia that is prepared by the Military Intelligence and Security Service, examined by the National Security Council and is noted annually by the Cabinet of Ministers.
The State Defence Concept is a document drawn up on the basis of the Military Threat Analysis, it determines the basic strategic principles, mid-term and long-term priorities and measures of State military defence during peacetime and in case national security is threatened. Saeima (The Parliament) adopted the last State Defence Concept on June 16, 2016.

The defence planning documents are:

The National Security Plan is based on the strategy and principles determined by the Concept of National Security, it includes specific measures for neutralisation and prevention of military threats to the State and is drawn up and approved by the Cabinet of Ministers within a year after the approval of the Concept of National Security.

The State Defence Plan is prepared based on the Military Threat Analysis and the principles determined in the State Defence Concept. It determines specific State defence measures, priorities and necessary resources, as well as the necessary readiness and activities of the LNAF, institutions exercising State authority and administration, local governments, and natural and legal persons in regards to State defence. The State Defence Plan is drawn up by the Ministry of Defence in cooperation with other ministries and approved by the Cabinet of Ministers.

The Ministry of Defence draws up and implements the State policy for defence, plans resources necessary for State defence and submit relevant proposals to the Cabinet of Ministers.

The LNAF Development Plan determines the development objectives, the capabilities to be developed and action in the long-term (for 12 years) of the NAF and the development priorities, tasks, terms of performance, as well as the mutual connection in the planning and budget development in the middle-term (for 4 years).

On the basis of the development plan of the LNAF, the Joint Headquarters of the LNAF shall each year develop the annual development plan of the LNAF in which the tasks and measures of the LNAF for the next year shall be determined in accordance with the funds allocated. The annual development plan of the LNAF shall be approved by the Minister of Defence.

1.2. How does your State ensure that its military capabilities take into account the legitimate security concerns of other States as well as the need to contribute to international security and stability?

Latvia actively participates in a broad range of global and regional multilateral organizations aimed at strengthening international security, cooperation and rule of law including the UN, NATO, the EU and the OSCE.

Units or soldiers of the LNAF in compliance with the Charter of the UN and documents of the OSCE, shall participate in international operations upon a resolution, recommendation or request of such international organizations of which the Republic of Latvia is a Member State, or with which the Republic of Latvia cooperates, as well as upon a request of a NATO or an EU Member State, or upon a request of such state in the territory of which an international operation is taking place.

Participation of the LNAF in international operations is possible only in accordance with political decisions of the Saeima (The Parliament), the Cabinet of Ministers, or in special cases - the Minister of Defence. This constitutional and legal framework provides transparency and clear division of power between the President, the Parliament and the Cabinet of Ministers including the Minister of Defence.
2. Existing structures and processes

2.1 What are the constitutionally established procedures for ensuring democratic political control of military, paramilitary and internal security forces, intelligence services and the police?

(i) Military Forces

Latvia has established democratic control over the LNAF. The legal basis for democratic control is embedded in the Constitution and in legislative acts that determine the responsibility of the armed forces.

Participation of the LNAF in international operations is possible only in accordance with political decisions of the Saeima (The Parliament), the Cabinet of Ministers, or in special cases - the Minister of Defence. This constitutional and legal framework provides transparency and clear division of power between the President, the Parliament and the Cabinet of Ministers including the Minister of Defence.

The Minister of Defence performs civil control over the LNAF. The Minister of Defence is a civilian, who symbolizes and executes the democratic control over the LNAF. The Minister of Defence is politically responsible for his or her actions. The management of LNAF is exercised by the Commander of the LNAF who is subordinated to the Minister of Defence.

The division of responsibilities is laid out in the National Security Law and in the National Armed Forces Law.

The civilian control of the activities of the LNAF within the scope of their competence is performed by the Minister of Defence, the State Audit Office, the Cabinet of Ministers, the President and the Parliament. The internal control of the activities of the LNAF is performed by the Commander of the LNAF.

(ii) Paramilitary Forces

In the territory of the Republic of Latvia there are no forces defined as paramilitary forces.

(iii) Internal Security Forces

The National Security Law defines that the Constitution Protection Bureau (SAB), the VDD and the Military Intelligence and Security Service (MIDD) are State security authorities, and their competence is governed by special laws and regulations.

(iv) Intelligence Services (Security and Intelligence Services)

The national security system contains three separate intelligence services as mentioned above. The MIDD is a state security authority and it operates under the supervision of the Minister of Defence. The VDD operates under the supervision of the Minister of Interior and the SAB is supervised by the Cabinet of Ministers.

The National Security Council has the right to request State security institutions all the existing information at their disposal, which concerns national security interests. The National Security Committee of the Parliament performs parliamentary control of the activities and use of budgetary resources of these State security institutions.

(v) Police

The legal basis for police activities is provided in the Constitution, the Law on Police, the Investigatory Operations Law, as well as other laws and regulations and international treaties regulating the protection of the national security and economic sovereignty.
According to Article 38 of the Law on Police, the Cabinet of Ministers, the Minister of the Interior and local government institutions control the activities of the police within the scope of their competence.

2.2 How is the fulfilment of these procedures ensured, and which constitutionally established authorities/institutions are responsible for exercising these procedures?

Please refer to the information provided to question 2.1 in Section II.

2.3 What are the roles and missions of military, paramilitary and security forces, and how does your State control that such forces act solely within the constitutional framework?

(i) Military Forces

Article 6 of the National Armed Forces Law, regulates the tasks of the LNAF. The main tasks of the LNAF are the following:

- to ensure the inviolability of the land, airspace, and territorial waters;
- to participate in international military operations according to the procedures specified in the laws and international agreements;
- to participate in the prevention of situations that constitute a threat to the State in accordance with the procedures laid down in laws and regulations.

The units (sub-units) of the LNAF may become involved in the performance of other tasks not provided for in this Law, but established by an order of the Cabinet of Ministers.

The Minister of Defence controls the execution of the above mentioned tasks and missions by the LNAF. The Ministry of Defence has an assisting structure to ensure the legality of actions of the LNAF – the Audit and Inspection department of the Ministry of Defence. In order to ensure rule of law within LNAF, the Military Police has operational rights of the investigating authority.

(ii) Paramilitary Forces

Not applicable.

(iii) Security Forces

The National Security Law specifies the State security institutions. Also State Police and State Border Guard can be named as the State Security forces. The competence of the State Security Forces and the control over them is determined by such laws as the Law on State Security Institutions, the Law on Police, the Law on Career Course of Service of Officials with Special Service Ranks Working in Institutions of the System of the Ministry of the Interior and the Prisons Administration, the Law on State Border of the Republic of Latvia, the State Border Guard Law and other legal acts.

3. Procedures related to different forces personnel

3.1 What kind of procedures for recruitment and call-up of personnel for service in your military, paramilitary and internal security forces does your State have?

(i) Military

The LNAF are formed by regular forces, the National Guard and reserve of the National Armed Forces. In peacetime, personnel of the regular forces of the LNAF shall be formed by professional service soldiers and civilian employees. The National Armed Forces' reserve is composed of reserve soldiers and reservists. In peacetime units are recruited from Latvian citizens who are accepted into professional service and Latvian citizens who are employed in civil positions provided for in the staff list.
As of January 2007 Latvia has a volunteer military service system. Professional service soldiers fulfil service in accordance with a contract. Civilian employees perform a specific job (work) on the basis of an employment contract in units (sub-units) in civil positions of staff in accordance with regulatory enactments regulating employment legal relationships.

In accordance with the Military Service Law, Latvian citizens from 18 years of age may be accepted into professional service if they meet the requirements stipulated by the Minister of Defence for the state of health, education, professional and physical preparedness, knowledge of the official language, and moral and psychological characteristics, and if such persons, except for specialist officers, specialist non-commissioned officers, and specialist soldiers, may serve not less than five years until the attainment of the maximum age determined for professional service in this Law. MSL sets out the principle of equality without seeking to differentiate soldiers according to their gender. MSL states, that norms of the laws and regulations governing employment legal relationships shall not apply to a soldier, except, e.g. for the norms that determine a prohibition of different treatment. The Labour Law states principle of equal rights, e.g. everyone has an equal right to work, to fair, safe and healthy working conditions, to fair work remuneration and this rights shall be ensured without any direct or indirect discrimination – irrespective of a person’s race, skin color, gender, age, disability, religious, political or other conviction, ethnic or social origin, property or marital status, sexual orientation or other circumstances. There are no gender restrictions for admission to the National Guard.

By December 2006, the last conscripts finished their military service, and on 1 January 2007, Latvia became country that has solely professional armed forces. NAF are formed by regular forces, the National Guard and reserve of the NAF.

(ii) Paramilitary Forces

Not applicable.

(iii) Security Forces


3.2 What kind of exemptions or alternatives to military service does your State have?

There is no compulsory military service in Latvia and no alternative service. However, volunteers may apply to the National Guard, which is a component of the National Armed Forces, and its objective is to involve the citizens of Latvia in the defence of the State territory and society and it participates in the planning and execution of the State defence tasks in accordance with the tasks determined in the Law. These includes e.g. participating in international operations and rapid reaction forces, providing host nation support, participating in emergency, fire-fighting and rescue work and measures for elimination of the consequences of events that have caused emergency situations, as well as destroying explosive objects and performing blasting work and providing support to State and local government institutions in crime prevention, guaranteeing of public order and security.

3.3 What are the legal and administrative procedures to protect the rights of all forces personnel as well as conscripts?

Legal and administrative procedures protecting the rights of all military personnel are regulated by the Constitution of the Republic of Latvia, MSL, the National Guard of the Republic of Latvia Law, the National Armed Forces Law, the Law on Service Pensions for Military Persons, the Law On Remuneration of Officials and Employees of State and Local Government Authorities, the Law on National Referendums, Initiation of Laws and European Citizens’ Initiative, Internal rules of the Ministry of Defence No 21-NOT “Rules of Procedure of the
Military Service System”, as well as various governmental regulations on provisions catering, insurance and compensation for military personnel.

The principles of gender equality are enshrined in the Constitution of the Republic of Latvia which requires all persons within Latvia to be equal before the law and the courts and human rights shall be implemented without any discrimination.

The same principles also provide the Labour Law – everyone has an equal right to work, to fair, safe and healthy working conditions, as well as to fair work remuneration. This right shall be ensured without any direct or indirect discrimination.

MSL provides that regulatory enactments regulating employment legal relationships shall not apply to a soldier, except for provisions governing the prohibition of differential and some periods of leaves.

A soldier in LNAF is under the protection of the State. His or her life, freedom, honor and dignity are protected by law. A soldier has the right to be a member of such associations and foundations, which do not have a political nature, as well as to establish associations and foundations for soldiers and participate in other non-political activities if such activities do not interfere with the performance of service duties.

Soldiers have the right to nominate a representative in each unit from amongst their number to protect the interests of soldiers and to solve practical issues in relationships with the unit commander (superior officer) and higher officials. The representative of soldiers shall exercise his or her powers in accordance with the procedures stipulated by the Minister of Defence.

A soldier has the right to appeal the decisions of officials taken in respect of him or her to a court if such decisions restrict his or her rights or infringe upon his or her honor and dignity without grounds and if he or she has utilized all means to dispute the decision in accordance with subordination procedures to higher officials, including the Minister of Defence.

A soldier has no right to refuse to perform military service on religious grounds, and to utilize his or her service position to impose his or her religious conviction on others. A soldier’s private property and its administration shall not be an obstacle or impediment to the performance of military service or to the transfer of the soldier from one unit to another.

Professional service soldier’s remuneration, as remuneration for officials (employees) of State and local government authorities, are governed by the Law on Remuneration of Officials and Employees of State and Local Government Authorities. The amount of monthly salary for soldiers shall be determined in accordance with the service rank and term of service. A soldier shall receive special additional payments, regulated by the Cabinet of Ministers, for participation in international operations, and some other special additional payments.

4. Implementation of other political norms, principles, decisions and international humanitarian law

4.1. How does your State ensure that International Humanitarian Law (IHL) and Law of War are made widely available, e.g., through military training programs and regulations?

Latvia is a state party to all Geneva Conventions and its Additional Protocols. Moreover, Latvia is a party to many other international legal instruments in the field of humanitarian law, such as the Convention on Prohibitions or Restrictions on the Use of Certain Conventional Weapons Which May be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects (CCW) and its five Protocols. Latvia is also a state-party to the Convention on the Prohibition of the Use, Stockpiling, Production and Transfer of Anti-personnel Mines and on their Destruction.

International rules, conventions and commitments governing armed conflict are incorporated in the national legal acts.
IHL aspects are included in all career-training programs in the National Defence Academy as well as in training programs for soldiers before the deployment to NATO operations.

4.2 What has been done to ensure that armed forces personnel are aware of being individually accountable under national and international law for their actions?

According to MSL each soldier shall be personally liable for the compliance with military discipline. Violations committed by a soldier shall be examined in accordance with the procedures set out in the law, Rules of Procedure for the Soldier and National Guardsmen Military Discipline and other laws and regulations.

Units to be deployed abroad are also briefed on international humanitarian law, war crimes, individual responsibility and command responsibility.

Please refer to the information provided to question 4.1 in Section II.

4.3 How does your State ensure that armed forces are not used to limit the peaceful and lawful exercise of human and civil rights by persons as individuals or as representatives of groups nor to deprive them of national, religious, cultural, linguistic or ethnic identity?

Please refer to the information provided to questions 2.1 and 2.3 in Section II.

4.4 What has been done to provide for the individual service member’s exercise of his or her civil rights and how does your State ensure that the country’s armed forces are politically neutral?

As stipulated in Section 15 of the Military Service Law, soldiers are prohibited from:

1) Engaging in political activities, joining trade unions, organizing strikes and participating in them;

2) Combining the performance of military service with another position or work not permitted by law;

3) Being a representative of another person in matters related to a unit (institution) in which he or she holds a position;

4) Taking part, personally or through the intermediation of another person, in transactions, upon the entering into or the fulfilment of which soldiers may unlawfully utilize their service position or come into a conflict of interest.

A soldier is under the protection of the State. His or her life, freedom, honor and dignity are protected by law. A soldier (he or she) shall exercise the right to employment by performing military service. A soldier has the right to be a member of such associations and foundations, which do not have a political nature, as well as to establish associations and foundations for soldiers and participate in other non-political activities if such activities do not interfere with the performance of service duties. Soldiers have the right to nominate a representative in each unit from amongst their number to protect the interests of soldiers and to solve practical issues in relationships with the unit commander (superior officer) and higher officials. A soldier has the right to appeal the decisions of officials taken in respect of him or her to a court if such decisions restrict his or her rights or infringe upon his or her honor and dignity without grounds and if he or she has utilized all means to dispute the decision in accordance with subordination procedures to higher officials, including the Minister of Defence. Soldier’s rights to vote, to be nominated as candidates are set in laws listed in question 3.3 in Section II. A soldier may participate in the activities of an association or foundation during free time of the service, has a right to freedom of religion and belief in faith. The commander of a unit may release a soldier from the duties of direct service to participate in religious activities if it does not interfere with the performance of the tasks of the unit (sub-unit).
4.5 How does your State ensure that its defence policy and doctrine are consistent with international law?

According to the place of international law in the Latvian legal system, the Latvian international obligations prevail the national law in case of any collision of legal norms. International law and the international obligations that the Republic of Latvia has undertaken are considered when drafting defence policy and doctrines (i.e. international humanitarian law, arms control treaties, human rights conventions, the UN Charter and customary international law).

Section III: Public access and contact information

1. Public access

1.1. How is the public informed about the provisions of the Code of Conduct?

The Ministry of Defence of Latvia and National Armed Forces do not provide any specific information on the Code of Conduct. General information on cooperation with the OSCE as well as link to the organization’s website is published on the official website of the Ministry of Defence of Latvia www.mod.gov.lv.

1.2. What additional information related to the Code of Conduct, e.g., replies to the Questionnaire on the Code of Conduct, is made publicly available in your State?

Please refer to the information provided to question 1.1. in Section III.

1.3. How does your State ensure public access to information related to your State’s armed forces?

Public access to information on the National Armed Forces is organized according to Freedom of Information Law and the Law on the Press and Other Mass Media.

Among the main activities of the Ministry of Defence of Latvia and National Armed Forces in order to ensure public access to information are:

- News portal of defence sector http://www.sargs.lv/ (available in Latvian only)
- Social media activities:
  
  National Armed Forces
  
  http://twitter.com/Latvijas_armija
  http://www.facebook.com/pages/LatvijasArmija
  http://www.flickr.com/photos/latvijas_armija
  http://www.youtube.com/LatvijasArmija
  http://www.instagram.com/latvijas_armija
  https://vm.tiktok.com/GptVJ1/

Ministry of Defence

http://twitter.com/Aizsardzibasmin
http://www.facebook.com/Aizsardzibasministrija
https://www.flickr.com/photos/aizsardzibas_ministrija

2. Contact information

2.1 Provide information on the national point of contact for the implementation of the Code of Conduct.

Defence Policy Department

Ministry of Defence of the Republic of Latvia Phone: (+371) 67335096
IMPLEMENTATION OF UNSCR 1325 “WOMEN, PEACE AND SECURITY” IN THE LATVIAN NATIONAL ARMED FORCES

I. Prevention

1. Measures to increase armed forces personnel understanding of the special needs and contributions of women in conflict.

Gender equality aspects are covered by the international laws of war which are included in the Latvian National Armed Forces (LNAF) training program for soldiers before the deployment to NATO operations. In addition to that, special training program “HIV and sexually transmitted diseases (STD) prevention course for section commanders” has been taught in the basic training course.

2. Measures to address the violation of the rights of women and girls, in line with international standards.

- Number and percentage of military manuals, guidelines, national security policy frameworks, codes of conduct and standard operating procedures/protocols of national security forces that include measures to protect women’s and girls’ human rights.

Members of the armed forces receive both regular training and even more specifically pre-deployment training in international law and humanitarian law, issues of violation of rights of women and girls being one the training subjects. The principles of the international law related to the protection of women and girls’ rights are integrated in all levels of national law, including the laws, policies and procedures regulating military service.

In addition LNAF and Ministry of Defence staff regularly participates in the US Defence Institute of International Legal Studies seminars on Human Rights and International Humanitarian Law.

- Number and percentage of directives for peacekeepers issued by head of military components and standard operating procedures that include measures to protect women’s and girl’s human rights.

Please refer to the information provided above.

II. Participation

1. Measures to increase the number of women in general and in decision-making positions in the armed forces and the ministry of defence.

- Number and percentage of women applying to be part of the military forces.

The number of women in the units of the LNAF in 2019 reached 921, which equals to 15.5% of total number of Latvian soldiers.

- Establishment of policies to attract female candidates (Targeted campaigns, review of accession tests, etc.).

2019 was the first year when LNAF carried out targeted campaign to attract women to not only supporting function roles (e.g. recruitment and personnel planning), but in combat roles as well.

- Establishment, promotion, maintenance and use of specialized rosters of female profiles in the military fields.

Specialized rosters of female profiles in the military fields are not established.
– Number and percentage of women in the military forces disaggregated by rank.

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– Number and percentage of discrimination and sexual harassment complaints that are referred, investigated and acted upon.

Complaints of the discrimination and sexual harassment have not been received.

– Development of regular analysis of retention and promotion practices for men and women in the forces.

Information on personnel development is not analyzed by gender.

2. Measures to increase the number of women in peacekeeping forces.

– Number and percentage of women in peacekeeping forces disaggregated by rank.

In 2019 there were 4 women deployed in NATO operations, which equals to 3.8% of total number of Latvian soldiers serving in NATO operations.

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– Number and percentage of international missions where gender advisors were appointed.

Gender advisors have not been appointed to international missions.

– Number and percentage of participating State’s international missions that address specific issues affecting women and girls in their terms of reference and the mission reports.

No information.

III. Protection

1. Increased access to justice for women whose rights are violated.

– Number and percentage of reported cases of exploitation and abuse allegedly perpetrated by uniformed peacekeepers that are referred, investigated and acted upon.

Information of exploitation and abuse allegedly perpetrated by Latvian uniformed peacekeepers has not been received.
IV. Other information

- Information on the development, implementation and evaluation of a National Action Plan to implement UNSCR 1325.

The principles of UNSCR 1325 are enshrined in the Constitution of the Republic of Latvia which requires all persons within Latvia to be equal before the law and the courts and human rights shall be implemented without any discrimination. This also refers to gender equality. The Constitution of the Republic of Latvia provides equal rights for both – men and women – to participate in the activities covered by this Resolution.

The principles of UNSCR 1325 are incorporated in regulatory provisions, and gender equality at the Ministry of Defence and its subordinated institutions including the LNAF is fully respected.

In 2019 Latvia took further steps in implementation of UNSCR 1325 by creating various initiatives with aim to increase number of women in leadership roles in public sector as well as raise awareness of this issue in society. The main task for LNAF in this process is to introduce permanent gender adviser role with help from Canadian AF which later could be implemented in other branches of public sector.

- Information on best practices and lessons learned.

Specific studies about gender role in NATO operations have not been conducted.