20TH OSCE ECONOMIC AND ENVIRONMENTAL FORUM

“Promoting Security and Stability through Good Governance”

FIRST PREPARATORY MEETING

Anti-Money Laundering and Countering the Financing of Terrorism

Vienna, 6-7 February 2012

Venue: OSCE Congress Centre, Hofburg, Heldenplatz, 1010 Vienna, Neuer Saal, 2nd Floor

ANNOTATED AGENDA

Monday, 6 February

09.30 – 11.00 Opening Session: Overview of Anti-Money Laundering and Countering the Financing of Terrorism (AML/CFT) in the OSCE Region (Welcoming remarks and opening statements, open to the Press)

Welcoming remarks:

– H.E. Brian Hayes, Minister of State at the Department of Finance, Representative of the Chair-in-Office, Irish 2012 OSCE Chairmanship
– Ambassador Lamberto Zannier, OSCE Secretary General
– Mr. Goran Svilanović, Co-ordinator of OSCE Economic and Environmental Activities

Opening statements:
Introduction to key AML/CFT issues and current challenges, including existing international and regional frameworks, prevention and suppression mechanisms, and the level of implementation

Speakers:

– Mr. Drew Sullivan, Investigative Journalist, Organized Crime and Corruption Reporting Project, based in Bosnia and Herzegovina
– Mr. Vincent Schmoll, Senior Policy Analyst, Financial Action Task Force (FATF)

Statements by Delegations
11.00 – 11.30 Coffee/Tea break (Press Conference)

11.30 – 13.00 Session I: Capacity Building - Preventing Money Laundering and the Financing of Terrorism

Moderator: Ambassador Tacan Ildem, Permanent representative to the OSCE, Turkey
Rapporteur: Ms. Olivera Zurovac-Kuzman, Environmental Advisor, Environmental Affairs Section, Democratization Department, OSCE Mission to Serbia

Selected topics:
- Identifying capacity building needs of OSCE participating States in preventing money laundering and financing of terrorism
- Exchange of good practices on customer due diligence by financial institutions as a key prevention instrument
- Understanding the links between AML/CFT and combating corruption
- The capacity building role of international organizations, including examples of OSCE specific contributions

Speakers:
- Mr. Alimjan Tadjibaev, Head of Division, Department on struggle against tax, currency crimes and legalization of criminal incomes under the Prosecutor General's Office of the Republic of Uzbekistan, and Mr. Murod Khusanov, National Project Officer, OSCE Project Co-ordinator Office in Uzbekistan
- Mr. Milovan Milovanović, Head of International Cooperation, Administration for the Prevention of Money Laundering of Serbia and Mr. Mato Meyer, Economic Transparency Adviser, OSCE Mission to Serbia
- Mr. Evgeny Volovik, Deputy Head, International Co-operation Department, Federal Financial Monitoring Service, Russian Federation

Discussion

13.00 – 14.30 Lunch break

14.30 – 16.00 Session II: Capacity Building - Identifying and Suppressing Money Laundering and the Financing of Terrorism

Moderator: Ambassador Andrey Kelin, Permanent Representative to the OSCE, Russian Federation
Rapporteur: Ms. Jelena Suvorova, Office of the Co-ordinator of OSCE Economic and Environmental Activities, OSCE Secretariat

Selected topics:
- Exploring the benefits of using intelligence and financial investigations as instruments to tackle organized crime
- Capacity building on identifying and detecting specific inter-related criminal offences (i.e. leveraging AML regimes to combat human trafficking)
The added value of the OSCE’s comprehensive security approach in addressing these issues

Speakers:
- **Mr. Bill Peace**, Deputy Director, Financial intelligence, Information Department, Serious Organised Crime Agency (SOCA), United Kingdom
- **Mr. Nicolae Fuiorea**, Head of Office for Inter-Institutional Co-operation, Division for Inter-Institutional Co-operation and International Relations, National Office for Preventing and Combating Money Laundering, Romania
- **Mr. Dmitry Putyatin**, Administrator of the Eurasian Group (EAG) Secretariat

**Discussion**

16.00 – 16.30 Coffee/Tea break

**16.30 – 18.00 Session III: AML/CFT National Risk Assessments**

**Moderator:** **Ambassador Eustathios Lozos**, Chair of the Economic and Environmental Committee, Permanent Representative of Greece to the OSCE

**Rapporteur:** **Ms. Katy Long**, Permanent Mission of Ireland to the OSCE

Selected topics:
- Introduction to National Risk Assessments
- Key issues related to data collection
- OSCE Guidebook to AML/CFT National Risk Assessments

**Speakers:**
- **Mr. Paul Ashin**, Senior Financial Sector Expert, Legal Department, International Monetary Fund (IMF)
- **Mr. Klaudijo Stroligo**, Senior Financial sector Specialist and World Bank/UNODC AML/CFT Mentor for Central Asia, the World Bank
- **Mr. Christian Larson**, Programme Officer, Economic Co-operation and Governance, Office of the Co-ordinator of OSCE Economic and Environmental Activities, OSCE Secretariat

**Discussion**

**18.30** Reception hosted by the Irish 2012 OSCE Chairmanship (Hofburg – Gallery Hall)

**Tuesday, 7 February**

**09.30 – 11.00 Session IV: Domestic Interagency Co-operation**

**Moderator:** **Mr. Klaudijo Stroligo**, Senior Financial sector Specialist and World Bank/UNODC AML/CFT Mentor for Central Asia, the World Bank
Rapporteur: Ms. Madina Ibrasheva, National Economic And Environmental Officer, Economic/Ecological Dimension, OSCE Centre in Astana

Selected topics:
- Information exchange and co-operation between financial intelligence units, law enforcement, judiciary, central banks and financial intermediaries
- Exchanges of national best practices from across the OSCE region
- Concrete examples of OSCE support

Speakers:
- Mr. Peter Oakes, Director of the Enforcement Directorate, Central Bank of Ireland
- Mr. Aleksandar Vujicic, Head of the Financial Intelligence Unit, Serbia
- Mr. Oleksii Berezhnyi, Head of AML Department, National Bank of Ukraine
- Mr. Hector Colon, Unit Chief, Illicit Finance, Immigration and Customs, United States of America

Discussion

11.00 – 11.30 Coffee/Tea break

11.30 – 13.00 Session V: International Information Exchange

Moderator: Ms. Katherina Sakharenko, Head of International Cooperation Department, State Financial Monitoring Service of Ukraine
Rapporteur: Ms. Zeinep Isakova, Senior Programme Assistant, OSCE Centre in Bishkek

Selected topics:
- Overview of existing mechanisms for international/regional information exchange and best practices examples from across the OSCE region
- Overcoming the remaining obstacles to international information sharing

Speakers:
- Mr. Boudewijn Verhelst, Chair of the Egmont Group and Deputy of the Financial Intelligence Unit, Belgium
- Mr. Bolot Sadykov, the State Secretary of the State Financial Intelligence Service, Kyrgyzstan
- Mr. Mijo Golub, Deputy Head of the Financial Investigation Unit at the State Investigation and Protection Agency (SIPA), Bosnia and Herzegovina
- Mr. Jaromir Neuzil, Head of International Co-operation Department, Financial Analytical Unit of the Ministry of Finance of the Czech Republic

Discussion

13.00 – 14.30 Lunch break
14.30 – 16.00  **Session VI:** Triangulating Co-operation between Public, Private and International Organizations

**Moderator:** Ambassador Fredericka Gregory, Permanent Representative of Canada to the OSCE

**Rapporteur:** Mr. Richard Delisle, Second Secretary, Delegation of Canada to the OSCE

**Selected topics:**
- Strengthening public - private co-operation on AML/CFT issues
- Engaging private financial institutions and providing incentives for the business sector encouraging them to step up their efforts in combating money laundering and financing of terrorism
- The role of private financial institutions in providing technical assistance
- Additional avenues for the co-ordination of international efforts and the OSCE’s role in this regard

**Speakers:**
- **Mr. Shane Riedel**, Director in Compliance at Citigroup London, United Kingdom
- **Ms. Elisabeth Florkowski**, Head of Group Compliance & Corruption Prevention, Raiffeisen Bank International, Austria
- **Mr. Ric Power**, Anti-Money Laundering Adviser (Law Enforcement), Global Programme against Money Laundering, Proceeds of Crime and the Financing of Terrorism (GPML), United Nations Office on Drugs and Crime (UNODC), Vienna

**Discussion**

16.00 – 16.30  Coffee/Tea break

16.30 – 18.00  **Concluding Session:** The OSCE’s Role in Follow-up to the Forum

**Rapporteur:** Ms. Dildora Djuraeva, Programme Assistant, Office of the Co-ordinator of OSCE Economic and Environmental Activities, OSCE Secretariat

**Concluding Debate**
- Identifying priority areas for future engagement
- Ways and means to tackle existing gaps and strengthen co-operation

**Closing Statements:**
- **Mr. Goran Svilanović**, Co-ordinator of OSCE Economic and Environmental Activities
- **Ambassador Eoin O’Leary**, Chairperson of the OSCE Permanent Council, Head of the Permanent Mission of Ireland to the OSCE, OSCE Chairmanship