

Chairmanship: Ireland

629th PLENARY MEETING OF THE FORUM

1. Date: Wednesday, 24 November 2010

Opened: 10.20 a.m.

Closed: 10.45 a.m.

2. Chairperson: Ambassador E. O'Leary

3. Subjects discussed – Statements – Decisions/documents adopted:

Agenda item 1: GENERAL STATEMENTS

(a) *Inspection under the Vienna Document of a specified area in Tajikistan from 7 to 11 November 2010*: United Kingdom (Annex 1)

(b) *Principles governing transfer of conventional weapons and exchange of military information*: Armenia, Azerbaijan, Chairperson

(c) *Dialogue on current politico-military issues in the OSCE*: Russian Federation (also on behalf of Armenia, Belarus, Kazakhstan, Kyrgyzstan and Tajikistan) (Annex 2)

Agenda item 2: SECURITY DIALOGUE

None

Agenda item 3: VIENNA DOCUMENT PLUS DECISION ON ELIGIBILITY OF AIR BASES FOR HOSTING VISITS

Chairperson

Decision: The Forum for Security Co-operation adopted Decision No. 15/10 (FSC.DEC/15/10) on the Vienna Document Plus decision on eligibility of air bases for hosting visits, the text of which is appended to this journal.

Agenda item 4: DECISION ON THE AGENDA AND MODALITIES OF THE
 TWENTY FIRST ANNUAL IMPLEMENTATION
 ASSESSMENT MEETING

Chairperson

Decision: The Forum for Security Co-operation adopted Decision No. 16/10 (FSC.DEC/16/10) on the agenda and modalities of the twenty-first Annual Implementation Assessment Meeting, the text of which is appended to this journal.

Agenda item 5: DECISION ON A REFERENCE GUIDE ON THE
 QUESTIONNAIRE ON THE OSCE CODE OF CONDUCT
 ON POLITICO-MILITARY ASPECTS OF SECURITY (not
 adopted)

Chairperson

Agenda item 6: DECISION ON AN INFORMATION EXCHANGE WITH
 REGARD TO OSCE PRINCIPLES ON THE CONTROL OF
 BROKERING IN SMALL ARMS AND LIGHT WEAPONS

Chairperson

Decision: The Forum for Security Co-operation adopted Decision No. 17/10 (FSC.DEC/17/10) on an information exchange with regard to OSCE Principles on the Control of Brokering in Small Arms and Light Weapons, the text of which is appended to this journal.

Chairperson of the Informal Group of Friends on Small Arms and Light Weapons (Sweden)

Agenda item 7: ANY OTHER BUSINESS

- (a) *FSC contribution to the Astana Summit meeting:* Chairperson
- (b) *Appointment of the FSC Co-ordinator on the Military Doctrine Seminar (Italy):* Chairperson, FSC Co-ordinator on the Military Doctrine Seminar (Italy)

4. Next meeting:

To be announced



**Organization for Security and Co-operation in Europe
Forum for Security Co-operation**

FSC.JOUR/635
24 November 2010
Annex 1

Original: ENGLISH

629th Plenary Meeting
FSC Journal No. 635, Agenda item 1(a)

**STATEMENT BY
THE DELEGATION OF THE UNITED KINGDOM**

Mr. Chairperson,

The United Kingdom would like to make the FSC aware of our recent successful VD 99 Specified Area inspection to Tajikistan which took place between 7 and 11 November. The inspection was undertaken to replace a previous, incomplete, inspection originally scheduled for April of this year.

Mr. Chairperson,

The United Kingdom would like to express its grateful thanks to the Tajik authorities and to the Tajik and Russian Federation escort teams that worked so hard to ensure the successful completion of this mission.

I should be grateful, Mr. Chairperson, if you would attach this statement to the journal of the meeting.

Thank you, Mr. Chairperson.



**Organization for Security and Co-operation in Europe
Forum for Security Co-operation**

FSC.JOUR/635
24 November 2010
Annex 2

ENGLISH
Original: RUSSIAN

629th Plenary Meeting
FSC Journal No. 635, Agenda item 1(c)

**STATEMENT BY
THE DELEGATION OF THE RUSSIAN FEDERATION
(ALSO ON BEHALF OF ARMENIA, BELARUS, KAZAKHSTAN,
KYRGYZSTAN AND TAJIKISTAN)**

The member States of the Collective Security Treaty Organization (CSTO) are pleased to note the resumption of meaningful dialogue on urgent politico-military issues on the OSCE agenda. We have on more than one occasion stressed the need for this kind of dialogue in previous joint statements to the OSCE Forum for Security Co-operation. We regard the progress that has been achieved as an extremely important precondition for advancing a genuinely comprehensive approach to ensuring security in the OSCE region.

In this context, the CSTO member States reaffirm their support for the initiative put forward by Mr. Dmitry Medvedev, President of the Russian Federation, calling for the drafting and conclusion of a legally binding Treaty on European Security. These countries intend to assist in every possible way in promoting this initiative in various international forums, including the OSCE.

The CSTO member States are prepared to make a constructive contribution to the implementation of the Athens OSCE Ministerial Council meeting decision entitled "Issues Relevant to the Forum for Security Co-operation", the aim of that decision being to enhance the politico-military tools available to the Organization. An important step in that direction was the start of talks on the updating of the Vienna Document 1999 of the Negotiations on Confidence-and Security-Building Measures. The member States of the Collective Security Treaty Organization are in favour of stepping up efforts for the purpose of reaching an agreement on new wording for the Vienna Document in time for the OSCE Ministerial Council meeting in 2011.

We regard as extremely timely the initiative to draw up an OSCE programme for further action in the field of arms control and confidence- and security-building measures, considering that the previous programme, which was adopted in 1992 in Helsinki, has virtually been completed. The approval of this document at the OSCE Summit meeting in Astana on 1 and 2 December of this year would strengthen the foundations for practical work by the Organization in the politico-military sphere.

We regard as an indispensable condition for ensuring stability, confidence and predictability in the military area the restoration of the viability of the legally binding conventional arms control regime in Europe and the strengthening and updating of those arrangements. The CSTO member States think it necessary to step up the work in this important area and to ensure, at the very earliest opportunity, the reaching of a “framework agreement” for the start of the negotiation process.

The January seminar to determine the role of the OSCE in promoting the implementation of United Nations Security Council resolution 1540 and also the May high-level seminar on military doctrines are destined to be significant events next year. The CSTO member States intend to contribute to the success of these seminars.

The implementation of the OSCE Document on Small Arms and Light Weapons (SALW) and the Document on Stockpiles of Conventional Ammunition, including the continuation of assistance to participating States in carrying out projects involving the disposal of surplus SALW and conventional ammunition and also involving better security in their storage, remains an important area of work within the Organization’s politico-military dimension. Confirmation of this can also be seen in the adoption in 2010 of the OSCE Plan of Action on Small Arms and Light Weapons, which has become an organic part of the general work to enhance the tools available to our Organization to deal with the first dimension.

The member States of the Collective Security Treaty Organization reaffirm their willingness to collaborate constructively with other OSCE participating States in carrying out all the aforementioned tasks with a view to preparing a significant contribution in the politico-military dimension to the OSCE Summit meeting in Astana and with a view to ensuring effective work in this field during the period ahead.

629th Plenary Meeting

FSC Journal No. 635, Agenda item 3

**DECISION No. 15/10
VIENNA DOCUMENT PLUS
ELIGIBILITY OF AIR BASES FOR HOSTING VISITS**

The Forum for Security Co-operation (FSC),

Reaffirming that the OSCE Vienna Document 1999 remains a key instrument for confidence- and security-building measures (CSBMs) and noting the determination of the participating States to update, as necessary, and revise the Vienna Document 1999 with particular attention to strengthening current CSBM instruments,

Seeking to update the Vienna Document 1999 in accordance with the principles delineated in Decision No. 1/10 on establishing a procedure for incorporating relevant FSC decisions into the Vienna Document, FSC.DEC/1/10 of 19 May 2010,

Taking into account Decision No. 7/10 on negotiations on the Vienna Document 1999, FSC.DEC/7/10 of 29 September 2010, emphasizing the importance of a mechanism for regular updates of the Vienna Document,

Decides to amend paragraph (19) of Chapter IV, Visits to Air Bases, to read as follows:

(19) Each participating State with air combat units reported under paragraph (10) will arrange visits for representatives of all other participating States to one of its normal peacetime air bases on which such units are located in order to provide the visitors with the opportunity to view activity at the air base, including preparations to carry out the functions of the air base, and to gain an impression of the approximate number of air sorties and type of missions being flown. Participating States with only one air combat unit reported under paragraph (10) located at only one normal peacetime air base operating combat aircraft, having already organized a visit to that base during the previous five-year period, desiring to enhance transparency, may choose to organize the next visit to another military air base operating multipurpose attack or specialized attack helicopters that has not been reported under paragraph (10). In case the only air base operating combat aircraft and reported under paragraph (10) has been substantially upgraded or a new type of a combat aircraft has been introduced into service since the last visit, then a visit to that air base shall be given preference during the next five-year period again. Participating States with no air combat units reported under paragraph (10) are not required to organize a visit to an air base operating multipurpose attack or specialized attack helicopters.

629th Plenary Meeting

FSC Journal No. 635, Agenda item 4

**DECISION No. 16/10
AGENDA AND MODALITIES OF THE TWENTY-FIRST
ANNUAL IMPLEMENTATION ASSESSMENT MEETING**

1 and 2 March 2011

Vienna Document 1999:

- (148) *The participating States will hold each year a meeting to discuss the present and future implementation of agreed CSBMs. Discussion may extend to:*
- (148.1) – *Clarification of questions arising from such implementation;*
- (148.2) – *Operation of agreed measures, including the use of additional equipment during inspections and evaluation visits;*
- (148.3) – *Implications of all information originating from the implementation of any agreed measures for the process of confidence- and security-building in the framework of the OSCE.*
- (150) *The Forum for Security Co-operation (FSC) will hold such meetings. It will consider, as required, suggestions made during the Annual Implementation Assessment Meeting (AIAM) aiming at the improvement of the implementation of CSBMs.*
- (150.4) *Participating States which for whatever reason, have not exchanged annual information according to this document and have not provided an explanation under the FSC announcing and reminding mechanisms, will during the meeting, explain the reason why and provide an expected date for their full compliance with this commitment.*

I. Agenda and indicative timetable**Tuesday, 1 March 2011**

10–11 a.m. Opening session

- Opening of the meeting by the Chairperson;
- Remarks by the Chairperson of the FSC;
- Presentation of a summary report by the Conflict Prevention Centre (CPC);
- Presentation of a report by the CPC on the meeting of the Heads of Verification Centres held on 13 December 2010 (FSC.DEC/4/10).

11.30 a.m.–6 p.m. Working session 1: Implementation of the Vienna Document 1999 and Global Exchange of Military Information (GEMI): Clarifications, assessments and conclusions

- Vienna Document 1999:
 - Annual exchange of information:
 - (i) Information on military forces;
 - (ii) Data relating to major weapons and equipment and systems;
 - (iii) Information on plans for the deployment of major weapon and equipment systems;
 - Defence planning:
 - (i) Exchange of information;
 - (ii) Clarification, review and dialogue;
 - Risk reduction:
 - (i) Mechanism for consultation and co-operation as regards unusual military activities;
 - (ii) Co-operation as regards hazardous incidents of a military nature;
 - (iii) Voluntary hosting of visits to dispel concern about military activities.

1–3 p.m. Lunch break

3–6 p.m. Working session 1 (continued)

Wednesday, 2 March 2011

10 a.m.–1 p.m. Working session 2: Implementation of the Vienna Document 1999 and Global Exchange of Military Information (GEMI): Clarifications, assessments and conclusions

- Vienna Document 1999:
 - Military activities:
 - (i) Military contacts;
 - (ii) Prior notification of certain military activities;
 - (iii) Observation of certain military activities;
 - (iv) Annual calendars;

- (v) Constraining provisions;
 - Compliance and verification:
 - (i) Inspection;
 - (ii) Evaluation;
 - (iii) Regional measures;
 - (iv) Communications Network;
 - GEMI.
- 1–3 p.m. Lunch break
- 3–4.30 p.m. Working session 3: Suggestions aiming at the improvement of the implementation of CSBMs
- 5–6 p.m. Closing session
- Discussion;
 - Concluding remarks;
 - Closure.

II. Organizational modalities

1. The AIAM will last two days and will have opening and closing sessions, as well as working sessions, dealing with the topics contained in the agenda (I). The indicative timetable provides more detail.
2. The organizational meeting of chairpersons, co-ordinators, rapporteurs, and the CPC will be held on Monday, 28 February 2011 at 3 p.m. The working hours of the AIAM will be from 10 a.m. to 1 p.m. and from 3 to 6 p.m.
3. Interpretation into the OSCE official languages will be provided at all sessions of the AIAM.
4. The sessions will be chaired by representatives of the participating States, in rotation, in accordance with the French alphabetical order, following on from the chairing of the closing plenary meeting of the 2010 AIAM by Croatia. The chair of the opening session and working sessions will be held Denmark. The chair of the closing session will be held by Spain.
5. Debates in the working sessions will be oriented towards problems and solutions and there will be no formal statements. Any national statements for the opening session should be presented in written form only and are to be distributed in advance. The working sessions are designed to be very informal meetings of national experts with the objectives of answering questions, exchanging information and allowing for constructive debate between participating States. Delegations are strongly encouraged to provide detailed explanations and concrete examples of their own implementation experiences. Delegations are welcome to distribute written contributions in advance of the Meeting, both on agenda items and on related matters for possible discussion. All delegations are strongly encouraged to provide national experts to participate in the AIAM.

6. To serve as a basis for preparatory work by delegations and co-ordinators, the CPC will circulate no later than 11 February 2011:

- The revised Annual Survey on CSBM Information Exchanged and the AIAM Survey of Suggestions 2010.
- A summary report on recent trends in the implementation of the Vienna Document 1999 and other measures.
- A summary report on the meeting of the Heads of Verification Centres held on 13 December 2010.

7. All working sessions will have one designated co-ordinator and one rapporteur throughout. The task of the co-ordinators will be to facilitate the discussion, while the immediate task of the rapporteurs will be to present a brief written summary report for use by the Chairperson of the closing session.

8. The co-ordinators will circulate a list of topics and questions for facilitating the discussion in their working sessions. They will be supported by the CPC in this regard. They will ensure that all relevant areas are addressed. The co-ordinators are also encouraged to focus discussions on suggestions that might be supported by delegations.

9. Delegations that have volunteers to act as co-ordinators or/and rapporteurs for the working sessions should provide the names of the individuals to the Chairperson of the FSC as soon as possible, but no later than 11 February 2011. The names of the co-ordinators and rapporteurs for each working session will be made known to all delegations no later than 15 February 2011.

10. During the first FSC plenary meeting following the AIAM, the Chairperson of the closing session will report on the AIAM to the FSC and provide the Chairperson's report together with the reports of the rapporteurs of the working sessions. Rapporteurs are encouraged to circulate their reports to those participating States that contributed to the relevant working session. Within a month after the AIAM the CPC will circulate a written report on suggestions made during the Meeting aimed at improving the implementation of CSBMs.

11. The approach recommended in order to ensure the most productive discussion in the FSC when the participating States consider, as required, suggestions for improvement of the implementation of CSBMs made during the Meeting, is for delegations to bring forward suggestions or topics of interest by means of food-for-thought papers. Discussions on initial papers could lead to further work in the FSC.

12. The agenda and dates of the 2012 AIAM will be agreed by a decision in the FSC before the end of 2011.

13. The Partners for Co-operation and the OSCE Parliamentary Assembly are invited to attend all sessions of the 2011 AIAM.

629th Plenary Meeting

FSC Journal No. 635, Agenda item 6

**DECISION No. 17/10
AN INFORMATION EXCHANGE WITH REGARD TO
OSCE PRINCIPLES ON THE CONTROL OF BROKERING IN
SMALL ARMS AND LIGHT WEAPONS**

The Forum for Security Co-operation (FSC),

Reaffirming its commitment to the full implementation of the OSCE Document on Small Arms and Light Weapons (SALW) (FSC.DOC/1/00, 24 November 2000), and with particular regard to its section III, part D,

Recalling FSC Decision No. 8/04, OSCE Principles on the Control of Brokering in SALW, which was adopted in recognition of the need to strengthen section III, part D, of the OSCE Document on SALW,

Recalling also Ministerial Council Decision No. 15/09, which tasked the FSC with taking steps to review the implementation of Ministerial Council Decision No. 11/08 with regard to the establishment or reinforcement of a legal framework for lawful brokering activities within the national jurisdiction of participating States, by the end of 2010,

Recalling further FSC Decision No. 2/10, the OSCE Plan of Action on SALW, which referred to a progress report by the CPC as a possible measure to review the implementation of FSC Decision No. 8/04, and also decided that, as a transparency measure, the FSC should consider making public one-off information exchanges,

Taking due consideration of the United Nations Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in SALW in All its Aspects (A/CONF.192/15, 20 July 2001), in which States affirm their commitment to developing adequate national legislation or administrative procedures to regulate SALW brokering activities, and to undertaking further steps to enhance international co-operation in preventing, combating and eradicating illicit brokering in SALW,

Taking into account the work done by the group of governmental experts established in 2005 pursuant to UN General Assembly resolution 60/81 to consider further steps to enhance international co-operation in preventing, combating and eradicating illicit brokering in SALW (Report of the Group of Governmental Experts, United Nations General Assembly document A/62/163),

Recognizing the importance of transparency measures in the area of SALW brokering controls as an indication of effective implementation of existing commitments, and as a tool for identifying strengths and further needs for assistance,

Decides:

1. To request participating States to exchange information on their present regulations concerning brokering activities with regard to SALW, as a one-off exchange, by 30 June 2011, based on the attached questionnaire;
2. To task the Conflict Prevention Centre (CPC) with providing a summary report of the replies. The report will be limited to the provision of statistical data related to implementation and will not compare national policies or assess implementation. The report should be made available to the participating States not later than 1 September 2011. This task will be completed within the existing CPC budget;
3. To make the summary report public and to task the Secretariat with publishing it on the OSCE public website no later than 1 September 2011.

QUESTIONNAIRE

| Question | Sources | Question | YES | NO |
|----------|-----------------------------|---|-----|----|
| 1 | PoA II.14 | Does your country have laws, regulations and/or administrative procedures governing brokering in SALW? | | |
| 2 | | List laws and/or administrative procedures regulating SALW brokering in your country. [type text] | | |
| 3 | GGE Report, paragraph 63(i) | Are those laws and procedures part of the national export control system? | | |
| | | Comments [type text] | | |
| 4 | FSC.DEC/8/04 | Does your country have a definition of brokering activities by persons and entities? | | |
| 4(a) | | If yes, please provide. [type text] | | |
| 5 | | Does your country require brokers to register before they can apply for brokering licences? | | |
| | | Comments [type text] | | |
| 6 | | Does your country require a licence to engage in brokering activities? | | |
| | | Comments [type text] | | |
| 7 | | Does your country make a background check on past involvement in illicit activities before registering a broker or issuing brokering licences? | | |
| | Comments [type text] | | | |
| 8 | PoA II.14 | Does your country keep a register of SALW brokers/traders? | | |
| | | Comments [type text] | | |
| 9 | FSC.DEC/8/04 | Does your country require a licence for SALW brokering activities on the territory of your country regardless of the nationality of the brokers? | | |
| | | Comments [type text] | | |
| 10 | | Does your country control brokering activities outside your territory carried out by brokers of your country's nationality? | | |
| | | Comments [type text] | | |
| 11 | | Does your country control brokering activities outside your territory carried out by non-citizen residents who are established in your country's territory? | | |
| | | Comments [type text] | | |
| 12 | BPG, Brokering, V (1) | What is the policy on deciding which State's jurisdiction is appropriate for each brokering transaction? [type text] | | |
| 13 | BPG, Brokering, V (5(i)) | Does your country have requirements for end-use documentation that must be met before each brokering activity is authorized? | | |
| 13(a) | | If so, describe. [type text] | | |
| 14 | PoA II.14 | Does your country require a licence, permit or other authorization for each brokering transaction? | | |
| 15 | GGE Report paragraph 44 | Are such applications for a licence, permit or other authorization considered for approval on a case-by-case basis? | | |
| | | Comments [type text] | | |
| 16 | | Are there exceptions to the requirement to hold a licence or authorization for a brokering transaction? | | |
| 16(a) | | Details (e.g. if the transaction is on behalf of the police or armed forces or other government officials) [type text] | | |
| 17 | | What are the criteria for granting a licence, permit or other authorization? [type text] | | |

QUESTIONNAIRE (continued)

| Question | Sources | Question | YES | NO |
|----------|---------------------------------|---|-----|----|
| 18 | BPG, Brokering, V (3) | Is <i>ex post facto</i> licensing possible? | | |
| 18(a) | | If yes, under which conditions? [type text] | | |
| 19 | | Does your country have measures to validate the authenticity of documentation submitted by the broker? | | |
| 19(a) | | If so, describe those measures. | | |
| 20 | FSC.DEC/8/04 | Does your country keep records of all licences or written authorizations issued? | | |
| 20(a) | | If yes, how long are the records kept for? | | |
| | | (a) 10 years | | |
| | | (b) Indefinitely | | |
| | | (c) Other | | |
| 21 | BPG, Brokering, V (4(ii)) | Does your country require brokers to report regularly on their activities? | | |
| 21(a) | | If so, describe. [type text] | | |
| 22 | PoA II.3 | Is it a criminal offence to engage in a SALW brokering transaction without a licence or authorization in your country? | | |
| | | Comments [type text] | | |
| 23 | | Does your country share with other States information on such matters as the disbarment of brokers and revocation of registration? | | |
| | | Comments [type text] | | |
| 24 | | Does your country regulate activities that are closely associated with the brokering of SALW? | | |
| 24(a) | | If so, which of the following activities are regulated (check relevant boxes)? | | |
| | | (a) Acting as dealers or agents in SALW | | |
| | | (b) Providing for technical assistance | | |
| | | (c) Training | | |
| | | (d) Transport | | |
| | | (e) Freight forwarding | | |
| | | (f) Storage | | |
| | | (g) Finance | | |
| | | (h) Insurance | | |
| | | (i) Maintenance | | |
| | | (j) Security | | |
| | | (k) Other services | | |
| | | Comments [type text] | | |
| 25 | | Are these activities regulated by legislation on brokering or any other legislation? | | |
| 26 | PoA II.14 | What penalties or sanctions does your country impose for illegal brokering activities? | | |
| 27 | PoA II.14 | If the answer to question 1 is “no”, does your country wish to request assistance in developing laws, regulations and/or administrative procedures to regulate brokering in SALW? | | |
| 28 | | What kind of assistance do you require? | | |
| 29 | | Has your country developed a project proposal for assistance? | | |
| 29(a) | | Does your country require training on controlling brokering activities in SALW? | | |
| 30 | PoA II.6 | During the reporting period, was action taken against groups or individuals engaged in illegal brokering (e.g., prosecution)? | | |
| 30(a) | | Please give details. | | |
| 31 | | Is your country content for these replies to be published on the OSCE website? | | |