

527th Plenary Meeting

FSC Journal No. 533, Agenda item 2

**DECISION No. 11/07
AN INFORMATION EXCHANGE WITH REGARD TO
OSCE PRINCIPLES ON THE CONTROL OF BROKERING IN
SMALL ARMS AND LIGHT WEAPONS**

The Forum for Security Co-operation (FSC),

Reaffirming its commitment to the full implementation of the OSCE Document on Small Arms and Light Weapons (SALW) (FSC.DOC/1/00, 24 November 2000) in general, and in particular with regard to section III, part D;

Recalling the United Nations Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All its Aspects (A/CONF.192/15, 20 July 2001), where States affirm their commitment to developing adequate national legislation or administrative procedures to regulate small arms and light weapons brokering activities, and to undertaking further steps to enhance international co-operation in preventing, combating and eradicating illicit brokering in small arms and light weapons;

Recalling FSC Decision No. 8/04, OSCE Principles on the Control of Brokering in Small Arms and Light Weapons, and the need to assess the implementation of the said decision;

Taking into account the work done by the group of governmental experts, established in 2005 by UN General Assembly resolution 60/81 to consider further steps to enhance international co-operation in preventing, combating and eradicating illicit brokering in small arms and light weapons (Report of the Group of Governmental Experts, United Nations General Assembly A/62/163);

Recognizing the importance of transparency measures in the area of SALW brokering controls as an indication of effective implementation of existing commitments, and as a tool for identifying strengths and further needs for assistance;

Decides:

1. To request participating States to exchange information on their present regulations concerning brokering activities with regard to small arms and light weapons, as a one-off exchange by 25 January 2008, following the structure of FSC Decision No. 8/04:

- General principles:
 - Measures taken to control brokering activities taking place within their territory;
 - Measures taken to control brokering activities outside of their territory carried out by brokers of their nationality resident or brokers who are established in their territory;
 - Description of existing legal framework for lawful brokering activities;
 - National definition of brokering activities;
- Licensing and record-keeping:
 - Description of the licensing process;
 - Period and form of kept records;
- Registration and authorization:
 - Are brokers required to obtain a written authorization to act as brokers?
 - Is there a national register of arms brokers?
 - What information is gathered in the register and taken into account when granting licenses?
- Enforcement:
 - What sanctions, including criminal sanctions, are in place to ensure that controls on arms brokering are effectively enforced?

2. To task the Conflict Prevention Centre (CPC) with providing a summary report of the replies. The report will be limited to the provision of statistical data related to implementation and will not compare national policies or assess implementation. The report should be made available to the participating States not later than 20 February 2008. This task will be completed within the existing CPC budget.