



Organization for Security and Co-operation in Europe
Forum for Security Co-operation

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DECISION No. 20/95

The Forum for Security Co-operation adopted a decision regarding the Questionnaire on Participating States' Policy and/or National Practices and Procedures for the Export of Conventional Arms and Related Technology (Annex).

Questionnaire on Participating States' Policy and/or National Practices
and Procedures for the Export of Conventional Arms and Related Technology

OSCE participating States are requested to provide details of:

1. Their basic principles, policies and/or national practices on the export of conventional arms and related technology.
2. Their national legislation governing the export of conventional arms and related technology. If applicable, report changes and/or updates to the data provided in 1995, including any relevant subsidiary legislation.
3. Any international agreements or guidelines, other than OSCE commitments, covering the export of conventional arms to which they are a party.
4. The procedures for processing an application to export conventional arms and related technology:
 - who is the issuing authority?
 - what other authorities are involved and what is their function?
 - who deals with compliance?
5. Lists of conventional weaponry under national export controls and the basis for their control. If applicable, report changes and/or updates to the data provided in 1995.
6. Principles and national regulations on the destination or end-user of the equipment. Is there a complete *erga omnes* system or a published list of
 - destinations of concern?
 - embargoed countries?
 - differentiation between destinations (e.g., is there any preferential treatment of (groups of) countries)?
7. Requirements for the provision of an end-user certificate in an export license application, or of non-re-exportation clauses, or of any other type of certification before and after delivery for conventional arms export contracts. If applicable, please specify any verification of the end-user certificate and/or non-re-exportation clauses before and after delivery.
8. National definition of transit and transshipment (including free zones) of conventional arms, together with associated national legislation and compliance procedures.

9. The procedures governing companies wishing to export arms. Are companies obliged to seek official governmental authority to enter into contract negotiations or to sign contracts with foreign customers?
10. Policy on the revocation of export licences once they have been approved; please list any published regulations.
11. The penal and administrative implications for any exporter failing to comply with national controls. If applicable, report changes and/or updates to the data provided in 1995.
12. Any circumstances in which the export of arms does not require an export licence.
13. Licences for temporary export (e.g., demonstrations or testing), the period allowed and any special conditions attached to the licence, including verification of return procedures.
14. Licence documents and any standard conditions attached to it (copies to be provided).
15. Different types (e.g. individual, general, restricted, full, permanent, etc.) of licences and what they are used for.
16. Advice given to exporters as to licensability, such as the likelihood of approval for a possible transaction.
17. The average number of export licences issued annually and the staff engaged in the export licensing procedure.
18. Any other relevant information pertaining to the export of conventional arms and related technology, e.g., additional laws, reports to Parliament, special procedures for certain goods.
19. Are all guidelines governing conventional arms transfers nationally published?

NB: Participating States that do not export conventional arms and related technology will so inform all other participating States.