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FSC.EMI/140/20 13 May 2020

ENGLISH only



United States Mission Organization for Security and Cooperation in Europe

No. 2020/03

Note Verbale

The Mission of the United States of America to the Organization for Security and Cooperation in Europe presents its compliments to all of the other Delegations and Permanent Missions to the Organization for Security and Cooperation in Europe, the Forum for Security Cooperation, and the Conflict Prevention Center, and in accordance with Decisions 13/97, 8/98, and 8/08 of the Forum for Security Cooperation, has the honor to submit the report of the United States of America's Information Exchange on Conventional Arms Transfers.

The Mission of the United States of America to the Organization for Security and Cooperation in Europe avails itself of this opportunity to renew to all Delegations and Permanent Missions to the OSCE, the Forum for Security Cooperation, and the Conflict Prevention Center the assurances of its highest consideration.

U.S. Mission to the OSCE Vienna, May 12, 2020



To all Permanent Delegations and Missions to the OSCE The Conflict Prevention Centre



Transparency in the global reported arms trade.

Reporting country: United States

Calendar year: 2018

Report of international conventional arms transfers (according to United Nations General Assembly resolutions 46/36 L and 58/54)

National point of contact

Reporting official	Organization	Phone	Email	Division section
William B. Malzahn	Department of State	+1-202-647-0397	UN_Register@state.gov	ISN/CATR

Exports

Category	Trading partner	Number of items	State of origin (if not exporter)	Intermediate location(s)	Description of items	Comments on the transfer
I. Battle tanks	Morocco	172	None		M1A1 MBT	
II. Armoured combat vehicles	Bahrain	20	None		M577A2 Command Post Carrier	
II. Armoured combat vehicles	Brazil	2	None		AAVC7 Amphibious Assault Command Vehicle	
II. Armoured combat vehicles	Brazil	20	None		AAVP7 Amphibious Assault Vehicle Personnel Carrier	
II. Armoured combat vehicles	Jordan	23	None		M577A2 Command Post Carrier	
II. Armoured combat vehicles	Lebanon	8	None		M2A2 Bradley IFV	
III. Large calibre artillery systems	Brazil	60	None		M109A5 155mm Howitzer, SP	

		I			
India	4	None		M77 155mm howitzer, towed	
Iraq	18	None		M198 155mm Howitzer, towed	
Iraq	28	None		M252 81mm Mortar	
Lebanon	5	None		M252 81mm Mortar	
United Arab Emirates	12	None		M142 Launcher, High Mobility Artillery Rocket System	
Australia	8	None		Aircraft	
Germany	1	None		Tornado	
Israel	6	None		F-35	
Lebanon	4	None		A-29 Attack Fighter	
Saudi Arabia	13	None		F-15SA Fighter	
Australia	8	None		Attack Helicopter	
Brazil	6	None		S-70 Helicopter	
Croatia	10	None		OH-58D Helicopter	
Greece	70	None		OH-58D Helicopter	
Indonesia	8	None		AH-64E Helicopter	
Jordan	2	None		AH-1F/S Helicopter	
Rep. of Korea	18	None		AH-64D Helicopter	
Pakistan	11	None		AH-1Z Helicopter	
Spain	2	None		SH-60 Helicopter	
Tunisia	4	None		UH-60M Helicopter	
	Iraq Iraq Lebanon United Arab Emirates Australia Germany Israel Lebanon Saudi Arabia Australia Brazil Croatia Greece Indonesia Jordan Rep. of Korea Pakistan Spain	Iraq 18 Iraq 28 Lebanon 5 United Arab Emirates 12 Australia 8 Germany 1 Israel 6 Lebanon 4 Saudi Arabia 13 Australia 8 Brazil 6 Croatia 10 Greece 70 Indonesia 8 Jordan 2 Rep. of Korea 18 Pakistan 11 Spain 2	Iraq 18 None Iraq 28 None Lebanon 5 None United Arab Emirates 12 None Australia 8 None Germany 1 None Israel 6 None Lebanon 4 None Saudi Arabia 13 None Australia 8 None Brazil 6 None Croatia 10 None Greece 70 None Indonesia 8 None Jordan 2 None Pakistan 11 None Spain 2 None	Iraq 18 None Iraq 28 None Lebanon 5 None United Arab Emirates 12 None Australia 8 None Germany 1 None Israel 6 None Lebanon 4 None Saudi Arabia 13 None Australia 8 None Brazil 6 None Croatia 10 None Indonesia 8 None Jordan 2 None Pakistan 11 None Spain 2 None	Iraq 18 None M198 155mm Howitzer, towed Iraq 28 None M252 81mm Mortar Lebanon 5 None M142 Launcher, High Mobility Artillery Rocket System Australia 8 None Aircraft Germany 1 None Tornado Israel 6 None F-35 Lebanon 4 None F-15SA Fighter Australia 8 None Attack Helicopter Saudi Arabia 13 None F-15SA Fighter Australia 8 None OH-58D Helicopter Croatia 10 None OH-58D Helicopter Greece 70 None OH-58D Helicopter Indonesia 8 None AH-64E Helicopter Jordan 2 None AH-64D Helicopter Pakistan 11 None AH-64D Helicopter Spain 2 None SH-60 Helicopter

VI. Warships	Philippines	1	None	Secretary Class Cutter
VI. Warships	Viet Nam	1	None	Secretary Class Cutter
VII. (a) Missiles and missile launchers	Australia	90	None	Launcher
VII. (a) Missiles and missile launchers	Australia	68	None	Missile
VII. (a) Missiles and missile launchers	Finland	12	None	Missile
VII. (a) Missiles and missile launchers	Japan	4	None	Missile
VII. (a) Missiles and missile launchers	Rep. of Korea	184	None	AIM-120C Missile
VII. (a) Missiles and missile launchers	Norway	36	None	AIM-120C Missile
VII. (a) Missiles and missile launchers	Oman	176	None	AIM-120C Missile
VII. (a) Missiles and missile launchers	Romania	16	None	AIM-120C Missile
VII. (a) Missiles and missile launchers	Saudi Arabia	360	None	AGM-154C Missile
VII. (a) Missiles and missile launchers	Turkey	40	None	LAU-129A/A Launcher
VII. (b) Man-Portable Air-Defence Systems (MANPADS).	Sweden	7	None	Stinger MANPADS gripstocks
IV. (b) Armed UAVs (fixed or variable geometry wing)	United Kingdom	2	None	MQ-9 Reaper

Imports

Category	Trading partner	Number of	State of origin (if	Intermediate	Description of items	Comments on the transfer
Calegory	Trading partite	items	not exporter)	location(s)	Description of items	Comments on the transfer

Military holdings

Category	Number of items	Description of items	Comments on the transfer
I. Battle tanks	6169		
II. Armoured combat vehicles	17420		
III. Large calibre artillery systems	6090		
IV. (a) Combat aircraft	3742		
V. Attack helicopters	1071		
VI. Warships	274		
VII. (a) Missiles and missile launchers	6476		

Procurement

Category	Number of items	Description of items	Comments on the transfer
II. Armoured combat vehicles	70		
III. Large calibre artillery systems	15		
IV. (a) Combat aircraft	58		
V. Attack helicopters	30		
VI. Warships	9		
VII. (a) Missiles and missile launchers	1130		

Related policies

Small arms exports

Category	Trading partner	Number of items	State of origin (if not exporter)	Intermediate location(s)	Description of items	Comments on the transfer
Revolvers and self-loading pistols	Afghanistan	2155	None			
Revolvers and self-loading pistols	Antigua and Barbuda	25	None			
Revolvers and self-loading pistols	Argentina	65	None			
Revolvers and self-loading pistols	Australia	78	None			
Revolvers and self-loading pistols	Austria	102	None			
Revolvers and self-loading pistols	Bahrain	177	None			
Revolvers and self-loading pistols	Bangladesh	200	None			
Revolvers and self-loading pistols	Brazil	3140	None			
Revolvers and self-loading pistols	Canada	1634	None			
Revolvers and self-loading pistols	China	275	None			
Revolvers and self-loading pistols	Colombia	115	None			
Revolvers and self-loading pistols	Denmark	40	None			
Revolvers and self-loading pistols	Dominican Rep.	12	None			

Revolvers and self-loading pistols	Fiji	50	None		
Revolvers and self-loading pistols	Germany	3	None		
Revolvers and self-loading pistols	Ghana	30	None		
Revolvers and self-loading pistols	Guatemala	503	None		
Revolvers and self-loading pistols	India	1741	None		
Revolvers and self-loading pistols	Indonesia	15	None		
Revolvers and self-loading pistols	Iraq	405	None		
Revolvers and self-loading pistols	Israel	110	None		
Revolvers and self-loading pistols	Italy	3	None		
Revolvers and self-loading pistols	Japan	2	None		
Revolvers and self-loading pistols	Jordan	64	None		
Revolvers and self-loading pistols	Latvia	2	None		
Revolvers and self-loading pistols	Lebanon	307	None		
Revolvers and self-loading pistols	Mexico	1284	None		
Revolvers and self-loading pistols	Norway	10	None		
Revolvers and self-loading pistols	Oman	1	None		

Revolvers and self-loading pistols	Pakistan	2087	None		
Revolvers and self-loading pistols	Papua New Guinea	230	None		
Revolvers and self-loading pistols	Philippines	74861	None		
Revolvers and self-loading pistols	Qatar	5	None		
Revolvers and self-loading pistols	Romania	164	None		
Revolvers and self-loading pistols	Saudi Arabia	10	None		
Revolvers and self-loading pistols	Singapore	6	None		
Revolvers and self-loading pistols	Spain	1	None		
Revolvers and self-loading pistols	Sweden	3	None		
Revolvers and self-loading pistols	Thailand	71115	None		
Revolvers and self-loading pistols	Turkey	200	None		
Revolvers and self-loading pistols	Ukraine	703	None		
Revolvers and self-loading pistols	United Arab Emirates	1039	None		
Revolvers and self-loading pistols	United Kingdom	28	None		For Bermuda
2. Rifles and carbines	Afghanistan	3565	None		
2. Rifles and carbines	Argentina	158	None		
2. Rifles and carbines	Australia	609	None		
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2. Rifles and carbines	Bahrain	1000	None		
2. Rifles and carbines	Belgium	2	None		
2. Rifles and carbines	Brazil	435	None		
2. Rifles and carbines	Canada	4	None		
2. Rifles and carbines	Chad	600	None		
2. Rifles and carbines	Colombia	84	None		
2. Rifles and carbines	Costa Rica	251	None		
2. Rifles and carbines	Czech Rep.	69	None		
2. Rifles and carbines	Denmark	111	None		
2. Rifles and carbines	El Salvador	1273	None		
2. Rifles and carbines	Georgia	6040	None		
2. Rifles and carbines	Germany	108	None		
2. Rifles and carbines	Greece	40	None		
2. Rifles and carbines	Hungary	135	None		
2. Rifles and carbines	Indonesia	9	None		
2. Rifles and carbines	Iraq	30695	None		
2. Rifles and carbines	Israel	1130	None		
2. Rifles and carbines	Japan	38	None		
2. Rifles and carbines	Jordan	1601	None		
2. Rifles and carbines	Kenya	300	None		
2. Rifles and carbines	Lebanon	108	None		
2. Rifles and carbines	North Macedonia	300	None		
2. Rifles and carbines	Malaysia	5	None		
2. Rifles and carbines	Mexico	2117	None		
2. Rifles and carbines	Morocco	293	None		
2. Rifles and carbines	Netherlands	169	None		

2. Rifles and carbines	New Zealand	409	None		
2. Rifles and carbines	Norway	4	None		
2. Rifles and carbines	Pakistan	732	None		
2. Rifles and carbines	Peru	5	None		
2. Rifles and carbines	Philippines	80	None		
2. Rifles and carbines	Poland	2	None		
2. Rifles and carbines	Romania	98	None		
2. Rifles and carbines	Saudi Arabia	120	None		
2. Rifles and carbines	Senegal	2200	None		
2. Rifles and carbines	Slovakia	70	None		
2. Rifles and carbines	Spain	3	None		
2. Rifles and carbines	Saint Kitts and Nevis	54	None		
2. Rifles and carbines	Saint Vincent and the Grenadines	150	None		
2. Rifles and carbines	Sweden	179	None		
2. Rifles and carbines	Thailand				
	maliand	50	None		
2. Rifles and carbines	Tunisia	50 2315	None None		
Rifles and carbines Rifles and carbines					
	Tunisia	2315	None		
2. Rifles and carbines	Tunisia Turkey	2315 205	None None		
Rifles and carbines Rifles and carbines	Tunisia Turkey Ukraine United Arab	2315 205 8	None None None		
Rifles and carbines Rifles and carbines Rifles and carbines	Tunisia Turkey Ukraine United Arab Emirates	2315 205 8 301	None None None		
Rifles and carbines	Tunisia Turkey Ukraine United Arab Emirates United Kingdom	2315 205 8 301 230	None None None None		
Rifles and carbines Sub-machine guns	Tunisia Turkey Ukraine United Arab Emirates United Kingdom Argentina	2315 205 8 301 230 158	None None None None None None		

3. Sub-machine guns	United Kingdom	1	None		
4. Assault rifles	Afghanistan	830	None		
4. Assault rifles	Argentina	8	None		
4. Assault rifles	Australia	22	None		
4. Assault rifles	Bahrain	2650	None		
4. Assault rifles	Bangladesh	274	None		
4. Assault rifles	Belgium	4	None		
4. Assault rifles	Brazil	53	None		
4. Assault rifles	Canada	40	None		
4. Assault rifles	China	201	None		For Hong Kong
4. Assault rifles	Costa Rica	72	None		
4. Assault rifles	Czech Rep.	350	None		
4. Assault rifles	Dominican Rep.	12	None		
4. Assault rifles	Finland	4	None		
4. Assault rifles	Indonesia	2	None		
4. Assault rifles	Iraq	1000	None		
4. Assault rifles	Israel	44	None		
4. Assault rifles	Italy	72	None		
4. Assault rifles	Jamaica	120	None		
4. Assault rifles	Jordan	123	None		
4. Assault rifles	Kazakhstan	109	None		
4. Assault rifles	Kuwait	17	None		
4. Assault rifles	Latvia	4	None		
4. Assault rifles	Lebanon	15	None		
4. Assault rifles	Lithuania	95	None		
4. Assault rifles	Mexico	41	None		
4. Assault rifles	Netherlands	18	None		

4. Assault rifles	New Zealand	4	None		
4. Assault rifles	Oman	381	None		
4. Assault rifles	Pakistan	2	None		
4. Assault rifles	Peru	4	None		
4. Assault rifles	Poland	23	None		
4. Assault rifles	Portugal	11	None		
4. Assault rifles	Qatar	1522	None		
4. Assault rifles	Serbia	265	None		
4. Assault rifles	Spain	3	None		
4. Assault rifles	Sweden	4	None		
4. Assault rifles	Thailand	1026	None		
4. Assault rifles	Turkey	21	None		
4. Assault rifles	Ukraine	144	None		
4. Assault rifles	United Arab Emirates	1240	None		
4. Assault rifles	United Kingdom	10	None		
5. Light machine guns	Afghanistan	2722	None		
5. Light machine guns	Bahrain	44	None		
5. Light machine guns	Georgia	6	None		
5. Light machine guns	Ghana	24	None		
5. Light machine guns	Iraq	789	None		
5. Light machine guns	Jordan	305	None		

5. Light machine guns	Kenya	84	None		
5. Light machine guns	Latvia	200	None		
5. Light machine guns	Morocco	44	None		
5. Light machine guns	Philippines	2253	None		
5. Light machine guns	Poland	1	None		
5. Light machine guns	Romania	77	None		
5. Light machine guns	Saudi Arabia	150	None		
5. Light machine guns	Slovakia	20	None		
5. Light machine guns	Sweden	8	None		
5. Light machine guns	Thailand	116	None		
5. Light machine guns	Tunisia	16	None		
5. Light machine guns	Turkey	529	None		
5. Light machine guns	Uganda	40	None		
5. Light machine guns	United Arab Emirates	250	None		
6. Others	Austria	4	None		
6. Others	Belgium	5	None		
6. Others	Canada	14	None		
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6. Others	France	91	None		
6. Others	Japan	8	None		
6. Others	Netherlands	10	None		
6. Others	Saudi Arabia	40	None		
6. Others	Sweden	25	None		
6. Others	Thailand	6	None		
6. Others	United Arab Emirates	9	None		
6. Others	United Kingdom	6	None		

Light weapons exports

Category	Trading partner	Number of items	State of origin (if not exporter)	Intermediate location(s)	Description of items	Comments on the transfer
Heavy machine guns	Afghanistan	442	None			
Heavy machine guns	Bahrain	10	None			
Heavy machine guns	Estonia	4	None			
Heavy machine guns	Indonesia	3	None			
Heavy machine guns	Iraq	222	None			
Heavy machine guns	Jordan	156	None			
Heavy machine guns	Lebanon	140	Japan			
Heavy machine guns	Mexico	3	None			

1. Heavy machine					
guns	Pakistan	1	None		
1. Heavy machine guns	Romania	1	None		
1. Heavy machine guns	Saudi Arabia	1030	None		
1. Heavy machine guns	Somalia	4	None		
1. Heavy machine guns	Thailand	18	None		
1. Heavy machine guns	United Arab Emirates	250	None		
1. Heavy machine guns	United Kingdom	1	None		
2. Hand-held under-barrel and mounted grenade launchers	Afghanistan	924	None		
2. Hand-held under-barrel and mounted grenade launchers	Algeria	5	None		
2. Hand-held under-barrel and mounted grenade launchers	Australia	5	None		
2. Hand-held under-barrel and mounted grenade launchers	Bahrain	50	None		
2. Hand-held under-barrel and mounted grenade launchers	Bangladesh	2	None		

2. Hand-held under-barrel and mounted grenade launchers	Belgium	1	None		
2. Hand-held under-barrel and mounted grenade launchers	Botswana	35	None		
2. Hand-held under-barrel and mounted grenade launchers	Czech Rep.	4	None		
2. Hand-held under-barrel and mounted grenade launchers	France	6	None		
2. Hand-held under-barrel and mounted grenade launchers	Iceland	1	None		
2. Hand-held under-barrel and mounted grenade launchers	Indonesia	25	None		
2. Hand-held under-barrel and mounted grenade launchers	Israel	2	None		
2. Hand-held under-barrel and mounted grenade launchers	Jordan	110	None		
2. Hand-held under-barrel and mounted grenade launchers	Kazakhstan	108	None		

2. Hand-held under-barrel and mounted grenade launchers	Kuwait	2	None		
2. Hand-held under-barrel and mounted grenade launchers	Mexico	541	None		
2. Hand-held under-barrel and mounted grenade launchers	Pakistan	1	None		
2. Hand-held under-barrel and mounted grenade launchers	Qatar	12	None		
2. Hand-held under-barrel and mounted grenade launchers	Saudi Arabia	5	None		
2. Hand-held under-barrel and mounted grenade launchers	Solomon Islands	6	None		
2. Hand-held under-barrel and mounted grenade launchers	Sweden	1	None		
2. Hand-held under-barrel and mounted grenade launchers	Thailand	6	None		
2. Hand-held under-barrel and mounted grenade launchers	United Kingdom	1	None		

4. Recoilless rifles	Afghanistan	20	None			
5. Portable anti-tank missile launchers and rocket systems	Georgia	72	None			
5. Portable anti-tank missile launchers and rocket systems	Qatar	60	None			
5. Portable anti-tank missile launchers and rocket systems	Ukraine	36	None			
6. Mortars of calibres less than 75 mm	Iraq	6	None			
6. Mortars of calibres less than 75 mm	Kenya	18	None			
6. Mortars of calibres less than 75 mm	Niger	22	None			
6. Mortars of calibres less than 75 mm	Philippines	34	None			

Small arms imports

Category Trading partner	3 (Number of items	Intermediate location(s)	Description of items	Comments on the transfer
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Light weapons imports

Category	Trading partner	Number of	State of origin (if	Intermediate	Description of items	Comments on the transfer
Category	Trading partner	items	not exporter)	location(s)	Description of items	Comments on the transfer

Source of information

Actual transfers for Categories I-VII. Authoriized transfers for Small Arms and Light Weapons.

Explanatory Notes

(a) Member States that do not have anything to report should file a "nil report" stating that no exports or imports have taken place in any of the categories during the reporting period.

(b) International arms transfers involve, in addition to the physical movement of equipment into or from national territory, the transfer of title to and control over the equipment. Member States are invited to provide with their return a concise explanation of national criteria used to determine when an arms transfer becomes effective. (See paragraph 42 of the annex to document A/49/316.)

(c) In the "Remarks" column Member States may wish to describe the item transferred by entering the designation, type, model or any other information considered relevant. Member States may also wish to use the "Remarks" column to explain or clarify aspects relevant to the transfer.

(d) Multiple-launch rocket systems are covered by the definition of category III. Rockets qualifying for registration are covered under category VII. MANPADS should be reported if the MANPADS system is supplied as a complete unit, i.e. the missile and launcher/grip-stock form an integral unit. In addition, individual launching mechanisms or grip-stock should also be reported. Individual missiles, not supplied with a launching mechanism or grip stock need not be reported.

(e) Check any of the following provided as part of your submission:

When reporting transfers, which criterion, drawn from paragraph 42 of the annex to document A/49/316, was used:

Other criterion: Transfer of title and control

Categories of equipment and their definitions

Battle tanks

Tracked or wheeled self-propelled armoured fighting vehicles with high cross-country mobility and a high-level of selfprotection, weighing at least 16.5 metric tons unladen weight, with a high muzzle velocity direct fire main gun of at least 75 millimetres calibre.

Armoured combat vehicles

Tracked, semi-tracked or wheeled self-propelled vehicles, with armoured protection and cross-country capability, either: (a) designed and equipped to transport a squad of four or more infantrymen, or (b) armed with an integral or organic weapon of at least 12.5 millimetres calibre or a missile launcher.

Large-calibre artillery systems

Guns, howitzers, artillery pieces, combining the characteristics of a gun or a howitzer, mortars or multiple-launch rocket systems, capable of engaging surface targets by delivering primarily indirect fire, with a calibre of 75 millimetres and above.

Combat aircraft and unmanned combat aerial vehicles (UCAV)

Includes fixed-wing or variable-geometry wing aerial vehicles as defined below: (a) Manned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction, including versions of these aircraft which perform specialized electronic warfare, suppression of air defence or reconnaissance missions.(b) Unmanned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction. The terms "combat aircraft" and "unmanned combat aerial vehicles (UCAV)" do not include primary trainer aircraft, unless designed, equipped or modified as described above.

Attack helicopters

Rotary-wing aircraft designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-to-air weapons and equipped with an integrated fire control and aiming system for these weapons, including versions of these aircraft which perform specialized reconnaissance or electronic warfare missions.

Warships

Vessels or submarines armed and equipped for military use with a standard displacement of 500 metric tons or above, and those with a standard displacement of less than 500 metric tons, equipped for launching missiles with a range of at least 25 kilometres or torpedoes with similar range.

Missiles and missile launchers

(a) Guided or unguided rockets, ballistic or cruise missiles capable of delivering a warhead or weapon of destruction to a rangeof at least 25 kilometres, and means designed or modified specifically for launching such missiles or rockets, if not covered by categories I through VI. For the purpose of the Register, this sub-category includes remotely piloted vehicles with the characteristics for missiles as defined above but does not include ground-to-air missiles. (b) Man-Portable Air-Defence Systems (MANPADS).